

DEAKIN

2001
annual report



Office of the Chancellor
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**Report of Operations and Audited Financial Statements
for the year ended 31 December 2001**

12 April 2002

The Honourable Lynne Kosky MP
Minister for Education and Training

Dear Minister,

I have pleasure in presenting to you, on behalf of the Deakin University Council,
the *Deakin University Annual Report 2001*.

Yours faithfully,

A handwritten signature in blue ink that reads 'Richard Searby'.

Richard Searby QC
Chancellor

Deakin is a university that stands for

- innovation in teaching and research for the professions, business and industry;
- responsiveness to the needs of its students and clients;
- leadership in flexible and lifelong learning; and
- an entrepreneurial approach to community and industry partnerships.

Values

- high standards in scholarship, teaching and research;
- the active pursuit of equity and social responsibility;
- good corporate citizenship; and
- the maintenance of a positive environment in which to work and study.

Deakin's vision is to be recognised internationally as Australia's most progressive university for the quality, effectiveness and accessibility of its teaching and learning programs, research in key areas, commercial and educational partnerships and international activities.

Report of operations

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Vice-Chancellor's report

Overview

I am pleased to report that 2001 was a highly successful and significant year for Deakin University. The Centenary of Federation provided a focus due to the University's strong link with Alfred Deakin, the 'Father of Federation'.

Success was gained in all core areas with highlights including the implementation of an innovative teaching and learning management plan, a strong demand for student places, a dramatic improvement in external income for research and a continuing increase in international student numbers.

Members of staff and students achieved many outstanding results and awards both individually and collectively.

In a difficult financial environment a positive financial result was achieved whilst maintaining operational activities and providing capital funds for campus redevelopments and improvements.

Senior appointments

Professor Wayne Robinson, Deputy Vice-President (Academic) left Deakin to take up the position of Deputy Vice-Chancellor (Scholarship and Research) at the University of Ballarat.

Professor Allan Johnston, Head of the School of Social Inquiry, was appointed as Acting Deputy Vice-President (Academic) and was formally appointed to the role on 18 December 2001.

Professor Lawrence St Leger, dean of the Faculty of Health and Behavioural Sciences and Professor Lindsay Mackay, Pro Vice-Chancellor retired from their positions effective from 31 December 2001. It is anticipated that the University will maintain strong links with both and will be able to draw on their knowledge and expertise that is so greatly valued.

Professor Shirley Grundy commenced on 15 January 2001 as dean of the Faculty of Education.

Awards

The calibre and diversity of Deakin students and staff continue to be recognised through many awards:

Professor Richard Russell, dean of the Faculty of Science and Technology, received the award of Membership of the Order of Australia in the 2001 Queen's Birthday Honours List.

Professor Russell was recognised for outstanding contributions to science education and in the field of chemistry.

The Open and Distance Learning Association of Australia (ODLAA), has honoured Deakin with two national awards for excellence in research. Every two years ODLAA bestows awards for excellence in Masters level research, PhD research, and excellence in book or non-print production detailing research. ODLAA is the premier professional association of distance education and flexible learning institutions and individuals.

The excellence in a PhD thesis award was won by **Dr Peter Smith** from the Faculty of Education for his thesis on flexible delivery in industry. Dr Smith's research identified several barriers to the effective implementation of flexible delivery as a technique for providing training in industry.

The Master research award was won by **Ms Lauris Grace**, who has just completed her Master of Professional Education and Training as an off-campus student. Completing her research paper in Perth, Ms Grace studied the barriers to flexible learning experienced by students in the vocational education and training sector.

As part of the celebration for the International Year of Volunteers 2001, the Minister for Community Services, the Hon Christine Campbell MP, awarded a Certificate of Appreciation to **Dr Fethi Mansouri** and **Associate Professor Sue Kenny** who have been working with the Victorian Arabic Social Services (VASS) on a number of joint research projects on the social and economic rights of refugees and the capacity of the community sector to deliver targeted services.

Professor Lawrence St Leger, dean of the Faculty of Health and Behavioural Sciences, was awarded the MCB University Press Award for Excellence 2001, in Northern England. His paper entitled 'Reducing the barriers to the expansion of health promoting schools by focusing on teachers', which was published in Health Education Journal, was selected as the most 'outstanding paper' for the year 2000.

Ms Judy Mousley of the Faculty of Education has been presented with the Best Paper Award for 2001 (non-practising classroom teacher category) by the Australian Association of Mathematics Teachers. Ms Mousley's paper, entitled 'Understanding multiplication concepts' was published in the Australian Mathematics Classroom, a journal that is distributed to 4,000 institutions and individual members across Australia.

Mr Laurence Strangio, a drama lecturer in the Faculty of Arts, won the Green Room Award for Best Director, in the Melbourne fringe theatre category, for "Portrait of Dora". The Green Room Awards recognise excellence within the performing arts in Melbourne, across the disciplines of drama, opera, dance, music, theatre, cabaret and fringe theatre.

Mr Michael Lucas from the Faculty of Arts, won script development funding from the Australian Film Council under the New Writers' Fund, for the script he developed as his honours project.

The Isi Liebler Prize is awarded for the MA or PhD thesis which best contributes to advancing knowledge of racial, religious or ethnic prejudice in any time or place, or advancing knowledge of multiculturalism and community relations in Australia. The 2001 award was presented to **Mr Djohan Effendi**, the Indonesian State Secretary.

Professor David Walker, from the Faculty of Arts, was recently awarded the Ernest Scott Prize for his book *Anxious Nation: Australia and the Rise of Asia 1850–1939*, the prize is awarded every two years to the best history published on Australia or New Zealand and is sponsored by the History Department at the University of Melbourne.

The Department of Justice awarded an Australian Violence Prevention Award comprising a certificate of merit and \$1,000 for the anger management/conflict resolution program co-ordinated by **Mr Terry Bartholomew** and offered by the Faculty of Health and Behavioural Sciences. These Awards are for excellence in the field of violence prevention/reduction and are awarded annually by the Australian heads of government through the Australian Institute of Criminology. Criteria for the Awards include an array of applied and research-based performance indicators.

Bachelor of Information Technology student, **Mr Shane Butler**, received a welcome addition to his studies when he was awarded \$4,000 worth of computer equipment. Shane, who is studying a three-year honours degree, is one of only eight IT students Australia-wide to be awarded the grant.

The grants program is administered by the Apple University Development Fund (AUDF) and invites tertiary students throughout Australia to submit a proposal, outlining an area of IT they hope to develop. The successful completion of Shane's program will see Apple Mac users being able to configure their computer in a more user-friendly way. This would be especially useful for IT teachers, allowing them to set up an entire laboratory of computers at once by configuring only one computer.

Wildlife conservation in the dry box-ironbark forests of central Victoria was the theme of a major research project that received the David Ashton Biodiversity Award at the 2000 Science Awards of the Department of Natural Resources and Environment, Victoria.

The research team, led by **Dr Andrew Bennett** of the Faculty of Science and Technology, also included scientists from Monash and LaTrobe universities, the Museum of Victoria and the Department of Natural Resources and Environment. Students have also had a significant role, with at least 10 honours and three postgraduate students directly contributing to the project across three universities.

The research efforts of **Professor Malcolm Macmillan**, Faculty of Health and Behavioural Sciences, received global recognition at the Association of American Publishers' Annual Awards. His recently released book, *An Odd Kind of Fame: Stories of Phineas Gage*, gained its publisher, MIT Press, the 2000 excellence award in the History of Science and Technology section from the Professional and Scholarly Division of the Association of American Publishers. The book relates the life of Phineas Gage, a railroad worker who survived severe brain trauma in 1848 when a workplace explosion sent a tamping iron through his skull, destroying the front left part of his brain. Gage was the first patient from whom doctors learned of the relationship between personality and the functions of the front parts of the brain.

The Jim and Alison Leslie Award for excellence in teaching is awarded annually on a faculty rotational basis. The 2001 award for the Faculty of Health and Behavioural Sciences went to **Mr Robert Price**, School of Health Sciences.

Centenary of Federation activities

To mark the Centenary of Federation, and to promote the University, a number of initiatives on Alfred Deakin were undertaken:

Mr Harold Mitchell opened the Centenary of Federation funded 'Alfred Deakin: The Voice of Federation' travelling exhibition on 10 April 2001 at the Gold Treasury Museum in Melbourne. The exhibition travelled throughout metropolitan and regional Victoria during the year.

Sixty students from the School of Contemporary Arts performed in "Our nation on parade" on Sunday 6 May in Melbourne, celebrating the University's link with Alfred Deakin.

Sir Anthony Mason delivered the public address 'Alfred Deakin's vision for Australia' as the first in the Alfred Deakin Lecture Series organised by the Victorian Centenary of Federation Committee, for which Deakin University was a sponsor. This was held on 10 May 2001 at the Melbourne Town Hall. Sir Anthony also judged the University's Moot Court at the Geelong Court House on 9 May 2001.

Sir Anthony was joined by Sir Zelman Cowen on 9 May 2001 at a special dinner event in support of the Alfred Deakin Prime Ministerial Library projects. The purpose of the function was to cement support from key influencers and potential major donors.

International education alliance

Deakin University has joined with three top-ranked online and distance education universities in the United Kingdom, Hong Kong and Canada to establish a World Alliance in Distance Education (WADE).

The alliance enables each of the universities to draw on the combined expertise and resources of Deakin, Athabasca University (Canada), Open University (UK) and the Open University of Hong Kong which together service 325,000 clients worldwide.

The agreement will create enhanced international e-learning opportunities at the graduate and postgraduate level in education and business.

A key initiative of WADE will be to work with the Commonwealth of Learning (COL) to help bridge the digital division between developed and developing nations.

WADE partners have agreed to collaborate with COL to launch an International Master of Distance Education program and anticipate developing an International Doctor of Education program.

These programs will allow professional educators around the world to take online courses that draw on the combined resources of the four universities — irrespective of where in the world the student is located.

Student association

Following the amalgamation of seven student organisations Deakin University Student Association (DUSA) is now one of the largest student organisations in the country, representing more than 29,000 students.

DUSA is the student representative body elected by Deakin students to ensure student control of student affairs at Deakin University. Representation of all Deakin students is assured with a structure of a university-wide student council and campus-based committees.

DUSA services are available to all students regardless of study mode including undergraduate, postgraduate and international students. The association's aim is to enhance the total Deakin experience for all its members by providing effective representation and meaningful services.

Melbourne campus redevelopment

Planning for the relocation of Rusden campus activities to the Melbourne campus for the 2002 academic year continued during the year.

The University received confirmation on 25 June 2001, of the State Government Land Monitor's approval to enter into a contract of sale of the Rusden campus.

On Friday 13 July Wycombe Constructions, builders of two of the major buildings to accommodate the Rusden relocation went into voluntarily administration. Extensive discussions were held with sub-contractors, unions and suppliers so that the project was able to recommence.

On 16 October the Department of Infrastructure, under the direction of the Minister for Planning, issued an Interim Control Order preventing all proposed new building works from proceeding on the Melbourne campus. In addition, the City of Whitehorse initiated proposed amendments to its Planning Scheme seeking to permanently require the University to either apply for individual planning permits for its buildings, or that they be in accordance with an approved master plan. The University is currently addressing these developments.

New midwifery program launched

The School of Nursing launched the postgraduate midwifery course at Monash Medical Centre in February. This new program, launched in collaboration with Southern Health Care Network, is a unique model, where the Graduate Diploma program may be completed in three semesters. The first semester is full-time providing the midwifery content; the second and third semesters are part-time and include an employment component. The program articulates with the Master of Midwifery, and is the first new program in this field for registered nurses in Victoria in 10 years.

MBA joint program with Certified Practising Accountants (CPA) Australia

The MBA joint program with CPA Australia was launched by Dr Kemp, Federal Minister for Education, Training and Youth Affairs on 1 December 2000. The joint program has met with unprecedented success in its offshore commencement this year, with 59 students in Hong Kong and more than 50 students in other overseas locations. Altogether 400 students have enrolled, an outstanding result and a very pleasing start to this new partnership program.

Indigenous nursing education project, Mount Isa

A project that commenced in 1997 culminated in the graduation ceremony for eight students, one in absentia, in Mt Isa Queensland on 7 May 2001. The pilot project to offer a Bachelor of Nursing course to a group of students in Mt Isa Queensland was funded by the Commonwealth Department of Health and Aged Care through the Office of Aboriginal and Torres Strait Islander Services and Queensland Health. The deliverables of this project were to improve indigenous health by increasing the number of indigenous registered nurses and to increase the understanding of indigenous health and of culture on non-indigenous registered nurses.

Quality assurance

The University has responded to the draft manual prepared by the Australian Universities Quality Agency (AUQA) for use by auditors, institutions, accrediting agencies and AUQA staff. The Quality Assurance Operational Group (QAOG), established to implement strategies developed by the Quality Assurance Steering Committee (QASC), is developing a framework for academic quality assurance that will encompass the "Learning Organisation" concept of a continuous improvement cycle applied to a set of criteria defining excellence in education. The criteria are consistent with the Australian Business Excellence model applied to an educational environment.

Stonington Stables and art gallery

The Stonington Stables Museum of Art was officially opened on 26 April 2001. Following the merger between Victoria College and Deakin University in 1991, there was an acknowledged need for suitable gallery space to be established. The Museum of Art project became a reality in 1994 when part of the Stable's living quarters was converted into the manager/curator's office. The establishment of a curatorial workshop and storage facility followed soon after. Work began on the lower floor gallery in 1996, and today Deakin University boasts a wonderful gallery housing a prestigious collection of art. Deakin will continue to acquire works of art of excellence and significance, with an emphasis on Australian contemporary art. This will sustain Deakin's scholarship, research and

professional practice in the arts. The gallery was formally opened with the inaugural exhibition of Geoffrey Bartlett's work. Geoffrey is a celebrated Australian sculptor, and Deakin is proud to have acquired his monumental work, Silver Cloud, in 1998.

Deakin Central

The official opening of Deakin Central at the Melbourne campus was held on Monday 19 November 2001. This project was established to provide a wide range of administrative services to staff and students from one location and to assist the University to meet its objective on delivering high quality, consistent customer service to existing and prospective customers across all administrative areas. Deakin Central will become the main point of contact for all customer interactions.

University Library of Australia

The University Library of Australia, a national borrowing scheme for staff and students commenced on 1 July 2001 and was established as an initiative of the Council of Australian University Librarians. The Deakin University community has been notified that staff and students, who are living or travelling interstate, are now able to borrow from any other university library in Australia. This national scheme was a passion of Professor Margaret Cameron, the first Deakin University Librarian. Professor Cameron first raised the possibility of a national scheme in 1983.

Enterprise bargaining agreement

Negotiations with the union over a new agreement were finally completed in late December 2000 and a formal ballot put to all staff to vote on acceptance of the Agreement. The Agreement was certified and implemented during February 2001.

Performance reports on strategic priorities

The following sections contain a performance report against the nine identified strategic priorities in the University's Strategic Planning Framework and individual faculty reports:

Strategic priorities

- Teaching and learning
- Graduate outcomes
- Scholarship and research
- Partnerships
- Internationalisation
- Market identity
- Staffing
- Infrastructure
- Resource base

Faculty reports

- Arts
- Business and Law
- Education
- Health and Behavioural Sciences
- Science and Technology



Alfred Deakin on the steps of Parliament House, Melbourne

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Teaching and learning

—To strengthen teaching and learning, including flexible and lifelong learning, through focused planning for course development and delivery and an increased emphasis on attracting and retaining an outstanding and diverse body of students and staff.

Performance

In 2001 Deakin University had 15,305 equivalent full-time students (EFTSU) enrolled in Commonwealth-funded places at the March census date and 14,439 at the August census date. Total EFTSU in March 2001 was 18,834, comprising 29,040 enrolments. Of these 17,419 were on-campus, 11,621 were off-campus, with 22,480 enrolled in undergraduate studies and 6,050 in postgraduate studies with the balance of 510 enrolled in enabling, non-award or complementary studies. In addition, DeakinPrime enrolled in excess of 50,000 students for corporate, professional association, union and government clients. These programs were delivered through the use of workplace-based and other flexible delivery methods.

In total, the University, including DeakinPrime, had in excess of 79,000 students involved in programs offered through the use of flexible delivery methods.

Course enrolment by field of study

Field of study	Total enrolments
Agricultural, Environmental, Related Studies	428
Architecture and Building	734
Creative Arts	982
Education	3,138
Engineering and Related Technologies	1,180
Health	2,934
Information Technology	1,408
Management and Commerce	8,671
Natural and Physical Resources	1,536
Society and Culture	7,806
Non-award	223
Total	29,040

To ensure the University is responding to student and industry needs the Accreditation Committee of Academic Board re-accredited 27 courses during 2001 with an additional 10 new courses being accredited for offer in 2002. The Faculty of Health and Behavioural Sciences was reviewed in 2001 and the Faculty of Arts commenced a restructure program. An approach to support cross-faculty programs began in 2001 as a result of discussions on University long-term planning.

The Competitive Edge: The Deakin University Teaching and Learning Management Plan

The Competitive Edge: The Deakin University Teaching and Learning Management Plan was in its second year of implementation. Building upon the priorities specified in the University's Strategic Planning Framework, the Plan set an agenda for action, with strategies which mapped a clear way forward and performance indicators designed to measure success. Three University strategic priorities: (1) Enhancing graduate attributes and outcomes, (2) Strengthening the teaching and learning experience, and (3) Extending internationalisation, provide the overarching goals for the current triennium. After the second year, most of the actions identified under each priority were implemented, or processes have been established to allow their implementation in 2002.

Six Teaching and Learning Management Plan Action Teams, with membership drawn from all faculties and University divisions and including student members, continued in 2001 to develop policies and support materials and activities for particular initiatives. All teams finished their work and were decommissioned at the end of the year.

The University continues to develop projects and industry partnerships that place Deakin graduates at the best advantage. One example, the Deakin Online Campus project, is aimed at providing Deakin students and staff with the platform to participate in high quality, online learning experiences and access support and administrative services online. The project is currently developing a process of technology review, development of teaching and learning models and a review of best practice and exemplars in the international tertiary education scene. Two policy framework documents are being developed to detail where Deakin University is at and where it would like to be in regard to an online campus, its strengths in this area, the plan for achieving its objects and the expected behaviours required to be exhibited by staff and students. These documents will be supplemented by a quality assurance process to facilitate best practice, to enable high quality content and infrastructure, and to ensure compliance with government requirements.

Quality assurance

The University has responded to the draft manual prepared by the Australian Universities Quality Agency (AUQA) for use by auditors, institutions, accrediting agencies and AUQA staff. The University has established the Quality Assurance Operational Group (QAOG), established to implement strategies developed by the Quality Assurance Steering Committee (QASC). QASC is developing a framework for academic quality assurance that will encompass the 'Learning Organisation' concept of a continuous improvement cycle applied to a set of criteria defining excellence in education. The criteria are consistent with the Australian Business Excellence model applied to an educational environment.

The activities of QAOG have already identified that Deakin has in place a comprehensive range of policies and procedures to ensure and improve the quality of its academic activities. QAOG is now moving to develop a tool, tailored to reflect Deakin's vision and planning framework, to assist in measuring the effectiveness of those policies and procedures, ensure that any remaining gaps or weaknesses in processes are highlighted, and assist areas of the University to implement the principles of a learning organisation. It is intended that these procedures will ultimately provide a framework for the self-study that will form the basis of the external AUQA audit.

Key performance indicator

Graduate rating of teaching as measured by the national Course Experience Questionnaire (CEQ), administered by the Graduate Careers Council of Australia

	1997 graduates		1998 graduates		1999 graduates		2000 graduates	
	Deakin	Nat	Deakin	Nat	Deakin	Nat	Deakin	Nat
Good teaching	79%	76%	78%	77%	76%	77%	76%	NA

Graduate outcomes

—To identify the knowledge and qualities which will prepare students and other clients for new opportunities both nationally and internationally, and to ensure these are reflected in our educational programs and partnerships.

Performance

The University believes that the implementation of the Teaching and Learning Management Plan is already enhancing the experience of graduates. The Graduate Destination Survey (GDS) and Course Experience Questionnaire (CEQ) for 2000 have shown clear improvements in the outcomes for Bachelor degree graduates. The percentage of graduates available for full-time employment has increased to 72.1% with 86.3% of these graduates actually in full-time work. The percentage of graduates in full-time work has steadily increased over the past five years from 70.5% for 1996 graduates.

All scales on the CEQ reported percentages of graduates broadly satisfied, which were either equal to or greater than last year's results. An impressive 91% of Bachelor degree graduates were broadly satisfied with their course, as measured by the Overall Satisfaction scale. The Good Teaching scale recorded the same result as last year with 76%, whilst the Generic Skills scale was up 1% to 87% broad satisfaction.

A highlight of the commitment to the plan is the work undertaken by the Faculty of Business and Law to maintain and develop strong links with industry—links that ensure Deakin graduates are at the forefront of new recruits to major law firms locally, nationally and internationally. The University also takes seriously the role of preparing graduates who are responsible members of their communities. In this regard the Deakin University Student Association and the Division of Student Life have published Survival Guide, which contains advice on University policies pertaining to students' social rights and responsibilities.

Key performance indicator

Graduate rating of generic skill acquisition (Source: Graduate Careers Council of Australia)

	1997 graduates		1998 graduates		1999 graduates		2000 graduates	
	Deakin	Nat	Deakin	Nat	Deakin	Nat	Deakin	Nat
Good teaching	86%	87%	87%	87%	86%	87%	87%	NA

Scholarship and research

—To enhance present levels of scholarship across the University and to develop further our recognised research strengths in targeted areas.

Performance

The details of research performance in 2001 will be provided in the 2001 Research Report, which is published in the second half of 2002 after the compilation of bibliographic information on research publications and the auditing of research income. Information on research activities is also available from the Research section of Deakin University's website, at <<http://www.deakin.edu.au/research>>.

Research management

The focus for research management in 2001 was the completion of the Research Management Plan, which lists goals for maintaining and improving the quality of research and research training. The plan also lists mechanisms for achieving this, and lists assessment criteria. Deakin aims to be in the top quarter of Australian universities by 2004, as ranked by research income, higher degree by research completions, and publications in the national collection. The plan sets a number of targets for research performance in future years with the most important target being an increase in external research income to \$27 million by the year 2004. Research income is important because the Commonwealth now funds research training on a performance basis with a significant component being research income.

Concentrating research into a number of potential research priority areas also continued. The importance of critical mass in research groups is well known. The benefits include improved research performance, more efficient use of infrastructure and a high quality environment for research training. Three new areas were established in 2001. These were:

- Chronic Illness;
- Physical Activity and Nutrition; and
- Social and Mental Health.

The established areas are:

- Advanced Materials Manufacturing and Performance;
- Cell and Organism Bioengineering;
- Cellular Metabolism in Health and Disease;
- Chiral and Molecular Technologies;
- Citizenship and Globalisation;

- Information Technology for the Information Economy;
- Quality Learning; and
- Sustainable Natural Resource Management.

Key performance indicators

Income for research

	1999 actual	2000 actual	2001 actual
National rank	25	23	—
Total (\$)	7.1M	10.1M	12.7M

Higher degree by research completions

	1999 actual	2000 actual	2001 actual
National rank	17	—	—
Total (completions)	89	109	100

Higher degree by research load

	1999 actual	2000 actual	2001 actual
National rank	25	20	—
Total (EFTSU)	553	586	606
Commonwealth	521	546	455
Non-Commonwealth	32	40	—
Fee paying	—	—	48
Fee waiver	—	—	103

Research publications in the national collection

	1999 actual	2000 actual	2001 target
National rank	20	16	—
Total (points)	540	581	650

Active and successful researchers

	1999 actual	2000 actual	2001 target
Total (staff)	209	230	250
% Active researchers in areas of research strength	55	65	66

Partnerships

—To consolidate and extend the University's strategic alliances, entrepreneurial relationships and partnerships with business, government, the professions, the community and other educational providers.

Performance

During 2001 the University made significant advances in the development of relationships with a wide range of key business, government, education and professional organisations.

In a significant international initiative, Deakin was the primary instigator of the World Alliance of Distance Education Universities (WADE) which is made up of four of the world's leading distance education Universities: Hong Kong Open University; the UK Open University; Athabasca University of Canada and Deakin University. Collaborative alliance projects have already begun between the members of the WADE alliance.

At the national level Deakin has become member of a consortium of five Australian universities and one institute of technology to collaborate on the delivery of university level programs in China. Together these institutions form the International Education Network (IEN) China Consortium.

The members of the consortium are:

- Deakin University;
- Flinders University;
- Macquarie University;
- La Trobe University;
- University of Tasmania; and
- Northern Metropolitan Institute of Technology.

Strategic alliances with Victorian TAFE Institutes, Box Hill, South West and South East have been reviewed and confirmed during 2001.

In addition Deakin has established and strengthened its relationship with some of Australia's major professional, trade and industry associations, specifically:

- Australian Pharmaceutical Manufacturers Association (APMA);
- Certified Practising Accountants of Australia (CPAA);
- Financial Planning Association (FPA);
- Australian Institute of Banking and Finance (AIBF);
- Securities and Derivatives Industry Association (SDIA); and
- The Migration Institute of Australia Ltd (MIA).

Deakin's ownership of the Australasian Human Resources Institute (AHRI) through AHRI Pty Ltd has strengthened the University's relationship with leaders in the human resources profession in the corporate, government and service industry sectors of the Australian economy.

On the corporate front, the University has built on past relationships with two of Australia's leading organisations in Coles Supermarkets and Ford Australia. Deakin is now the prime contractor for training education and professional development services provided to both organisations and staff of the University are involved in a range of activities including research, consultancy and teaching for them.

Opportunities for developing the University's partnerships with business, government and the professions are many and varied and the foundation which has been established through the University's alliances with client organisations will ensure that these relationships play a vital part in shaping the future of Deakin University.

Key performance indicators

Number of overseas universities with which Deakin has a strategic partnership agreement

1999	2000	2001
0	0	3

Number of Australian universities and other education providers (e.g. TAFE) with which Deakin has a strategic partnership agreement

1999	2000	2001
0	5	5

Number of international corporations with which Deakin has a strategic partnership agreement

1999	2000	2001
0	10	4



Passing the customs officer at Wodonga

In Australian Sketcher 20/8/1881. By permission of the National Library of Australia

Internationalisation

—To diversify and enrich the University by increasing the participation of students and staff in both inbound and outbound overseas exchanges, by increasing the enrolment of overseas students both on-shore and off-shore, and by internationalising curricula.

Performance

In 2001 Deakin reaped the benefits of having developed courses as products in earlier years, including coursework masters programs in business, electronic commerce and information technology. Deakin is now able to go to new markets including China, India and Thailand with a more attractive portfolio of courses that includes postgraduate offerings. Student numbers are increasing, there is a higher proportion of postgraduates and a broader spread of source countries. As Deakin University's English Language Institute grows, numbers proceeding to higher education are increasing. In addition, consistent with national trends, the proportion of international students taught off-shore is increasing.

An international identity for Deakin University is reflected in the undergraduate and postgraduate course guides. In addition, the international website has also been maintained and supports an international identity for Deakin University.

Deakin has made progress in diversifying source countries, with the proportion of students from the top three countries — Malaysia, Singapore, Hong Kong — dropping from 56% in semester two, 2000 to 51% in semester two, 2001, as numbers from China, India and Thailand increased. Progress has been made in diversifying modes of study, with the proportion of international students studying on-campus in Australia down from 70% in semester two, 2000 to 67% in semester two, 2001. The proportion of postgraduates has increased from 17% in semester two, 2000 to 24% in semester two, 2001.

There were 250 international study experience students during 2001 including 100 exchanges, 58 students undertaking in country language experiences, 11 internships, 54 students on study tours, 6 students on joint programs, 10 students to a moot court competition in Vienna and 11 students undertaking research overseas.

Key performance indicator

Total number of international students

	1997	1998	1999	2000	2001
Heads	2522	2689	2617	2827	3249
EFTSU	1841	2012	1935	1918	2102
% of total load	9.8%	10.7%	10.9%	10.8%	11.1%



Badge of the Australian Federation League of NSW

ABN 9712192. By permission of the National Library of Australia

Market identity

—To confirm and strengthen our market position with reference to our strategic focus and to develop and communicate a strong, credible and distinctive image and identity reflecting that focus.

Performance

With customer awareness and perceptions a strong priority, the marketing unit continued to focus its strengths on building brand awareness. The effective use of publications, continued development of the website and strategic advertising has reinforced the values of 'innovation', 'responsiveness' and 'relevance' of the Deakin identity.

Promotion is customer focused, and 2001 saw the introduction of sub-brand brochures, carefully geared towards areas of study rather than individual courses and further development of the website, namely the courses portal. High profile activities such as the annual Course and Careers Seminar and Open Day were structured using the sub-brand initiative.

VTAC data for 2002 entry for HECS-based undergraduate courses showed an increase of 6%, with increases in first preferences and first to fourth preferences by 8% and 6% respectively. Deakin continues to hold its market share of 14% of first preferences, fourth to RMIT with 18.7%, Melbourne 18.3% and Monash with 17.3%. Deakin's market share of 14.5% of first to fourth preferences is slightly above Melbourne at 14.3%, and third to Monash with 19.1% and RMIT with 15.8%.

Emphasis on fee generation during 2001, predominately through direct mail marketing, resulted in an increase of 730 fee-paying students, 311 above target. From 2001, fee-paying students will include APESMA applicants — this year 2,189 students. Off-campus growth of 11.35%, well above the 0.51% target increase, suggests direct marketing is also producing positive results in the mature-age market.

Efforts will continue to focus on fee generation and targeted marketing.

Key performance indicator

Prestige rating (Source: The Good Universities Guide)

	1999	2000	2001	2002
Rating	** (2)	** (2)	*** (3)	*** (3)

Staffing

—To provide a supportive and inclusive working environment and to develop strategies to better align our staffing profile with our strategic needs.

Performance

The major staffing activities during 2001 were associated with the University's goal of providing a supportive and inclusive working environment and to develop strategies to better align the staffing profile with University strategic needs.

All areas across the University continued to implement actions in response to local issues identified in the 2000 Staff Attitude Survey. A further survey will be undertaken in 2002.

A policy and process to facilitate change and minimise adverse affects on staff and University operations was developed and implemented. A major review of staff communication processes was completed with several of the recommendations implemented during the year.

All areas implemented the Human Resource Workforce Planning process that involves identification of local people issues and the development of strategies and actions to achieve the desired staffing profile.

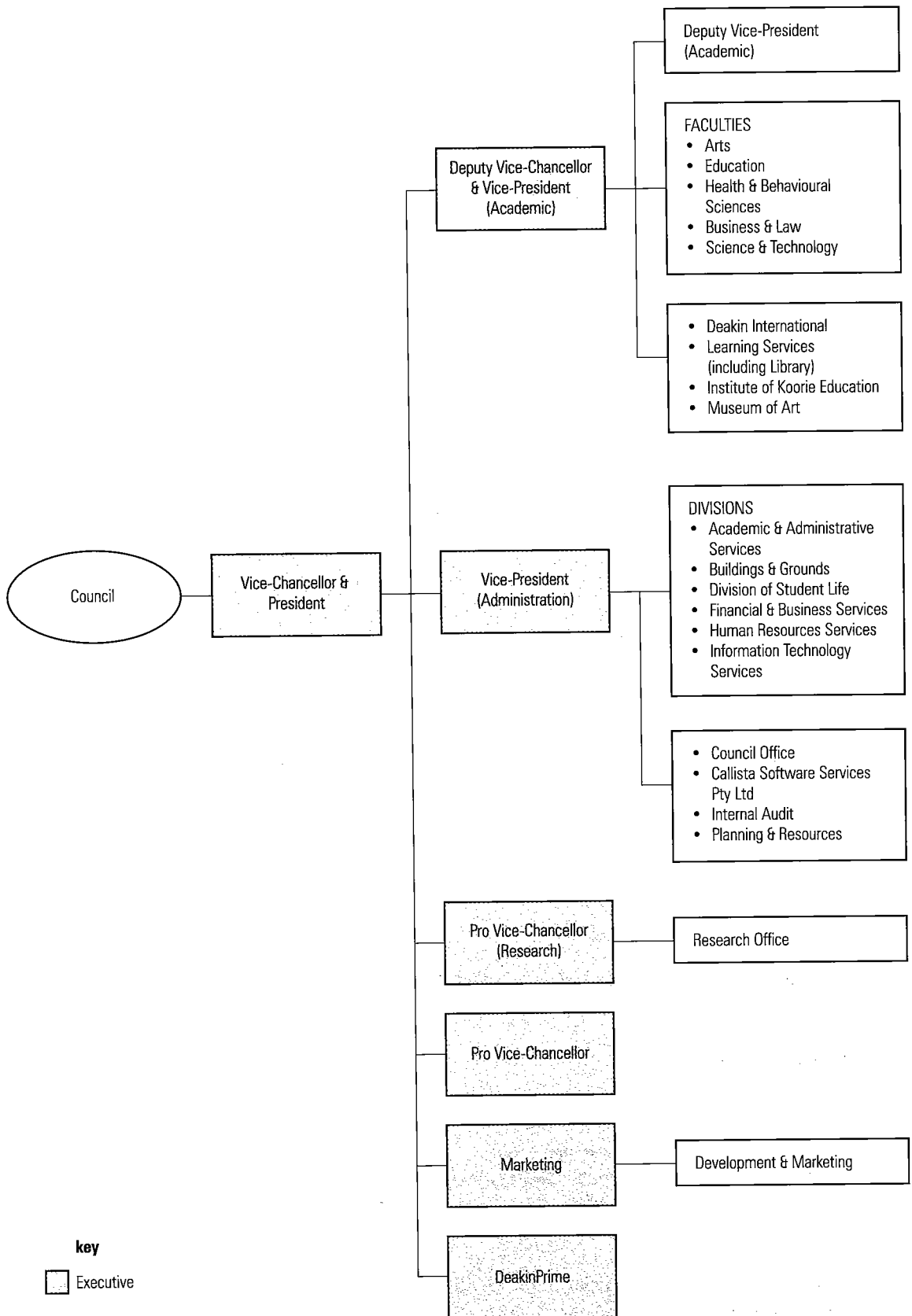
Additional initiatives include the introduction of a Code of Conduct and review and revision of reward and recognition policies.

Key performance indicator

Overall staff satisfaction

% Staff satisfaction	1995	1996	1998	2000
Administration/Divisions	65%	64%	67%	64%
Faculties	—	—	59%	59%
Overall result	—	—	63%	63%

DEAKIN UNIVERSITY ORGANISATIONAL CHART



Senior Officers

Chancellor

Mr R H Searby
MA *Oxon*, QC

The Chancellor is the titular and ceremonial head of the University and is the chair of the University's governing body, the Council.

Vice-Chancellor

Professor G V H Wilson
AM, BSc MSc *Melb*, PhD *Monash*, DSc *Melb*, FAIP, MACE, FTS

The Vice-Chancellor is responsible to the Council for the academic and administrative affairs of the University and for maintaining and promoting the efficiency and good order of the University.

Deputy Vice-Chancellor and Vice-President (Academic)

Professor A Martin
BA MA PhD *Wisconsin*

The Deputy Vice-Chancellor and Vice-President (Academic) is responsible to the Vice-Chancellor for the general superintendence and good governance of the principal academic activities of the University.

Vice-President (Administration)

Mr R H Elliott
BSc MEd *Melb*

The Vice-President (Administration) is the head of the administration of the University, responsible to the Vice-Chancellor for the provision of administrative and financial services.

Pro Vice-Chancellor (Research)

Professor P A Hamilton
PhD DSc *Tas*, FRAS, FAIP, FIEAust, FASA, FIREE

The Pro Vice-Chancellor (Research) is responsible to the Vice-Chancellor for the development and enhancement of research within the University.

Pro Vice-Chancellor

Professor L D Mackay
BSc BEd *Melb*, PhD *Monash*, FSSE, AFAIM

The Pro Vice-Chancellor is responsible to the Vice-Chancellor for advice on international issues and to support the Deputy Vice-Chancellor and Vice-President (Academic) on matters pertaining to DeakinPrime and the University's relationship with the TAFE sector.

Deputy Vice-President (Academic)

Professor W Robinson (to 31 August 2001)
BVSc, MVSc *Melb*, PhD *Ohio State*, Dip Am Coll Vet Pathologist

Deputy Vice-President (Academic)

Professor A Johnston (from 20 August 2001)

BA (Hons) *Melb*, MA, PhD *Duke*

The Deputy Vice-President (Academic) is responsible to the Deputy Vice-Chancellor for the provision of academic leadership, and for providing high-level support to the Deputy Vice-Chancellor to ensure the excellence of Deakin's academic program and the maintenance of high standards of teaching and learning.

Dean of Arts

Professor J Beaumont

BA (Hons) *Adel*, PhD *London*

Dean of Business and Law

Professor P Clarke

LLB, *WAust*, LLM (Hons) *Auck*

Dean of Education

Professor S Grundy (from 15 January 2001)

BA (Hons) *Qld*, MA *WAust*, PhD *Murd*

Dean of Health and Behavioural Sciences

Professor L H St Leger

BA *Melb*, Med PhD *Monash*, TSTC

Dean of Science and Technology

Professor R Russell

BSc (Hons) *Tas*, PhD Philosophy *ANU*, DSc *Tas*, FRSC, FRACI C Chem

Executive Director Learning Services and University Librarian

Ms S McKnight

BBus *QIT*, MPubAdmin *UQ*, AALIA, AFAIM

The Executive Director Learning Services and University Librarian is responsible to the Deputy Vice-Chancellor for the leadership, management and strategic planning for Learning Services, which encompasses the Library, Learning Environments and Learning Resources Development.

Director, Institute of Koorie Education

Ms W Brabham

DipT (Primary) *SCV*

The Director of the Institute of Koorie Education is responsible to the Deputy Vice-Chancellor for the development of the Institute and its programs and for the day-to-day management of the Institute and its resources.

Chief Executive Officer, DeakinPrime

Mr K W Fuller

MBA *Deakin*, FASA, CPA, FCIS, FCIM

The Chief Executive Officer of DeakinPrime is responsible for the University's education and commercial services to corporations and professional societies.

Director of Marketing and Development

Mr G Morris

BSc BEd *Melb*

The Director of Marketing and Development is responsible to the Vice-Chancellor for marketing, publications, community liaison, media, alumni, database marketing, government relations/protocol and fundraising.

Staff numbers

	Academic	General	Male	Female	Total 31/12/2001	Total 31/12/2000
Geelong	239	571	345	465	810	808
Geelong Waterfront	35	234	109	160	269	322
Melbourne	294	495	303	486	789	762
Rusden	61	39	46	54	100	106
Toorak	2	53	14	41	55	65
Warrnambool	61	86	74	73	147	165
Total	692	1478	891	1279	2170	2228
AHRI		19	6	13	19	13
Callista Software Services		73	52	21	73	72

Infrastructure

—To ensure the alignment of facilities and of support and administrative services with University strategic priorities, while maximising cost effectiveness and quality of service.

Performance

A main focus for the year was to improve the information technology infrastructure and corporate systems and to create electronic and/or web-based interfaces for students.

An upgrade and duplication of the microwave component of the Wide Area Network, which provides essential communications covering voice and data between all of the University's campuses, was undertaken.

Following upgrading and maintenance work the performance of FirstClass, an internet based communication system, was improved considerably. Further work is being applied to improve the performance of TopClass, the online teaching and learning management system, in parallel with the search for an alternative system.

An automated software distribution and maintenance system was introduced to student computer laboratories which has meant that greater than 95% of work stations in these laboratories are operational at all times. A new printing solution for student laboratories was also introduced which included the installation of new Lexmark printers across all locations. The solution was linked to Deakin Card, the identification card that also has electronic purse functionality, as the method of payment by students

The Progression @ Deakin project has resulted in staff and students of the University being able to receive online information on course and unit rules and on student progress towards meeting requirements for completion of courses. Through this project web enabled re-enrolment, with automated checking of degree rules, has been offered to approximately 4,000 students for 2001/2002.

The Deakin Central project was established to provide a wide range of administrative services to staff and students from one location. The launch at the Melbourne campus was held on 19 November and the Geelong and Warrnambool operations will be launched in early 2002. Deakin Central will become the main point of contact for all student administration interactions.

Redevelopment of the Melbourne campus continued although issues in regard to planning permits have resulted in delays to some of the building projects. The relocation of the Rusden campus activities will be substantially complete on target with the majority of activities transferred to the Melbourne campus for the commencement of the 2002 academic year and the remaining activities to be transferred in mid 2002.

Key performance indicator

Student and staff satisfaction with services and facilities

The 2001 staff and student satisfaction was 89.8%, exceeding the target of 75%.



Federal Australian Conference, Melbourne 1890

Shakespeare Collection file 204/13/11. By permission of the National Library of Australia

Resource base

—To strengthen the University's resource base through financial prudence and commercial astuteness and ensure it supports our strategic focus and priorities.

Performance

A dynamic cycle of strategic planning is now well established at Deakin University. The Strategic Planning Framework is supported by the development of operational plans for University-wide core activities and by strategic plans in faculties, schools and divisions. As an integral part of the budget development process, all budget centre heads provide statements linking their expenditure proposals to the strategic priorities of their areas and the University generally.

The Minister approved the University's application for funding under the first round of its Workplace Reform Program in December 2000. The approval provides funding of 2% of the notional salary component (75%) of the operating grant backdated to 19 June 2000. This funding will help offset the cost of the EBA pay increases.

The University applied for and was allocated 60 new places (EFTSU) to be effective in 2002 from a Commonwealth Government initiative entitled Backing Australia's Ability — an Innovation Action Plan for the Future.

Deakin continues to seek opportunities for growth and diversification through increased numbers of fee-paying Australian and overseas students, and participants in corporate training and professional upgrading programs.

Funds from all sources were allocated to support strategic initiatives across the University and the capital program. Projects included:

- further redevelopment of the Melbourne campus to accommodate the Rusden activities;
- enhancement of information technology and communications infrastructure at all campuses;
- improving equipment and facilities for research activities;
- Media Arts sound production refit;
- Nursing virtual laboratory workstations; and
- student laboratory workstations.

Significant changes in financial position

The University's operating result was a surplus of \$12.888 million, an increase of \$4.844 million on the 2000 result.

The improved result is mainly attributed to an increase of \$4 million consultancy and contract research income, student fee income increase of \$3.8 million and a decrease in employee benefits liabilities by \$2 million primarily due to lower projected inflation rates being advised by the Victorian Department of Treasury and Finance, with the resultant credit being taken to profit. The application of the transitional provisions of Revaluation of Non-Current Assets applied to Library holdings reduced depreciation in 2001 by \$2,498,000.

The proceeds from the sale of Rusden campus have been included in revenue for the year, this did not have an impact of the surplus as there was a corresponding amount for cost of assets sold.

Total assets have increased by \$23.3 million with the major movements in assets being:

- a decrease in cash and investments of \$5.9 million reflecting the run down of cash to fund capital expenditure during the year;
- an increase in Receivables of \$14.3 million;
- the development of Callista systems for resale of \$2.1 million; and
- a net increase in fixed assets of \$15.2 million, including a net revaluation of Land and Buildings of \$10.5 million.

Key performance indicators

Percentage growth in total revenue

	1999	2000	2001
Target			9%
Actual	8%	5.86%	9.75%

Non-grant revenue as a percentage of total revenue

	1999	2000	2001
Target			65%
Actual	65.1%	66.6%	64%

Total revenue (\$M)

	1999	2000	2001
Target			278.8
Actual	274.2	287.5	315.5

Five-year financial summary (consolidated)

	2001 \$000	2000 \$000	1999 \$000	1998 \$000	1997 \$000
Operating income					
Commonwealth Government Grants	112,693	107,941	101,618	108,635	123,082
Higher Education Contribution Scheme	58,730	56,246	58,950	55,009	42,152
Victorian State Government Grants	884	458	528	511	1,365
Other	143,243	122,877	113,143	89,588	73,209
Total operating income	315,550	287,522	274,239	253,743	239,808
Operating Expenses	302,580	279,440	247,153	232,280	217,504
Operating Result Before Income Tax	12,970	8,082	27,086	21,463	22,304
Balance sheet information					
Current assets					
Cash Assets	40,238	46,122	36,369	39,615	27,925
Receivables	31,705	21,229	12,564	14,522	10,244
Deferred Income — Government Contribution for Superannuation	11,621	11,044	10,449	10,700	9,489
Other	5,236	5,504	4,881	3,303	3,435
	88,800	83,899	64,263	68,140	51,093
Non-current assets					
Investments	10,440	10,450	10,089	9,251	8,409
Property, Plant and Equipment	468,976	453,781	446,804	425,796	404,851
Deferred Income — Government Contribution for Superannuation	133,840	132,481	127,575	126,857	137,975
Other	16,844	14,947	9,209	4,533	1,176
	630,100	611,659	593,677	566,437	552,411
Liabilities					
Creditors	20,284	19,236	14,458	34,155	30,824
Borrowings	36,641	37,030	25,158	17,775	5,958
Provision for Employee Entitlements	28,910	30,369	23,418	27,146	26,409
Deferred Employee Benefits for Superannuation	145,461	143,525	138,024	137,557	147,464
Other	307	275	302	313	304
	231,603	230,435	201,360	216,946	210,959
Net assets	487,297	465,123	456,580	417,631	392,545
Total equity	487,297	465,123	456,580	417,631	392,545

Faculty of Arts

The year 2001 was a year of challenge and, in many areas, success for the Faculty of Arts. In all areas of its operation the Faculty strove to achieve strong alignment with University strategic priorities.

In Teaching and Learning, the focus was on key elements of the Teaching and Learning Management Plan: notably, the development of online teaching and consideration of the pedagogical implications of online delivery; staff development and training in online teaching; experiential learning for Arts students; and internationalisation of the curriculum. Course development was focused on new postgraduate programs, on and off-campus, aimed at vocational and professional markets nationally and internationally. As in its undergraduate programs, the Faculty married in these programs, education in core disciplines and more applied training.

The Faculty continued to implement its multi-faceted program for improving staff research productivity and there was a notable increase in the number of active researchers in the majority of the Schools. The Faculty saw a significant increase in the number of successful ARC Discovery and Linkage Grants won in 2001, for 2002. Consistent with the University's Research Management Plan, the Faculty focused on improving Higher Degree by Research completions, and increasing external research revenue from Commonwealth and non-Commonwealth sources. There were major advances in the development of the Research Priority Area (RPA), Citizenship and Globalisation. Two new professors were appointed into the RPA, and this area of research was responsible for almost all the successful nationally competitive grant applications in 2001 for 2002. Within the RPA, the Corporate Citizenship Research Unit and the Centre of Citizenship and Human Rights have successfully driven efforts to win non-Commonwealth revenue.

The Faculty also enjoyed great success in increasing its revenue from commercial and government partnerships. The appointment of a Manager Commercial Projects brought great dividends, particularly in the winning, through nationally competitive tender, of the major and prestigious two-year contract with the Department of Defence, to teach in 2002-2003 the program of the Centre for Defence and Strategic Studies, Australian Defence College, Canberra.

Increased efforts in internationalisation led to a promising growth in international recruitment. Jointly with Deakin International, new initiatives were introduced to develop a Study Abroad market. Continued intensive marketing led to an increase in postgraduate student enrolments from national sources. Attracting fee-paying students continues to be one of the Faculty's main objectives and means of addressing the continuing financial difficulties associated with increased costs and declining revenue from Commonwealth sources.

The year as a whole was dominated by a Faculty-wide debate about restructuring. After an extensive process of consultation, a recommendation was made to the University Executive to reduce the number of Schools from four to two and to appoint three Associate Deans, with portfolios aligned with key strategic areas: Teaching and Learning; Research; and International and Development. The proposal for this change, which is aimed at sharpening the strategic focus of the Faculty, will be formally submitted to the Vice-Chancellor and Academic Board in March-April 2002.



Alfred and Pattie Deakin's invitation to the opening of the Commonwealth Parliament, Melbourne

Papers of Alfred Deakin, MS1540 By permission of the National Library of Australia

Faculty of Business and Law

The focus of the Faculty of Business and Law in 2001 was on the implementation and consolidation of the significant changes made in 1999-2000 to the structure, content and delivery of its main programs. This included the introduction of a suite of new undergraduate and postgraduate degrees. It also made important gains and contributions to the University in areas such as attracting undergraduate and postgraduate students, securing graduate outcomes, research, teaching and learning, generating fee income and domestic and international partnerships.

The Faculty's new and revised programs proved to be attractive to the students it seeks. Enrolments grew to over 13,100 (5,963 EFTSU), as at 31 December 2001, or some 32% of the University's total student population. This included over 1,500 international students. The calibre of commencing undergraduate students (as measured by ENTER scores) also rose. The Dean's Scholars program for the BCom had a score of 94 and the scores required to secure a place in most of its other undergraduate programs reached new heights in 2001. Led by the MeCom, MCom and MBA programs the Faculty's postgraduate fee-paying enrolments rose by 43%. To cement this position and to assist students and promote the University, the Faculty also developed a broad scholarship program. This now includes industry scholarships for the BIT, the Dean's Scholars program, LLB scholarships to commemorate Alfred Deakin and international scholarships offered in conjunction with the Warrnambool and Geelong councils to attract students to our regional campuses.

In recent years, the Faculty has paid special attention to enhancing the career prospects of its graduates and believes that this has (at least) contributed to their strong performance at entering business and the professions. As measured by the Graduate Careers Council of Australia, the percentage of its graduates available for work and who were in work was 93.7% in 2001, up from 81% in 1998.

Research productivity within the Faculty continued to grow strongly. In terms of DEST publications, it increased 28% in 2000 to just over an average of one publication per full-time academic staff member. In terms of research income, it increased by 26%. Nevertheless, the Faculty believes that there is scope to further increase its activity, especially in the area of generating research income, and plans to place greater emphasis on this area in 2002 and beyond.

In the area of teaching and learning the focus of the Faculty was on implementing the Teaching and Learning Management Plan and enhancing flexible delivery. A 'business internship' program was developed, communications skills were integrated into mainstream programs, teaching materials and programs were further internationalised and FirstClass conferencing and audio streaming were used to expand the reach of teaching and communication. The BCom and LLB remained amongst the largest offering available at Deakin through flexible delivery and, in 2001, both secured a satisfaction rating of over 75% from graduates.

The Faculty continued to develop and deliver its programs through domestic and international partnerships and to be international in perspective and in enrolment. This has required great commitment from its staff who displayed outstanding energy, dedication and enthusiasm. In this connection it is noted that the human resource indicators for the Faculty were universally positive.

Faculty of Education

The year 2001 has seen the commencement of a period of significant and positive change for the Faculty of Education. Highlights of the year have included the growth in the popularity of its courses at all levels, the development of new undergraduate courses in primary teaching and secondary physical education as well as a Graduate Certificate course in Enterprise Education for offer in 2002, the ongoing renewal of its Masters programs including online delivery, and enhancements to the Doctor of Education and Doctor of Philosophy programs.

Implementation of the recommendations of the 1999 Review of the Faculty has continued including the appointment of a new Dean of the Faculty, Professor Shirley Grundy, who commenced in January 2001.

A further recommendation achieved is the accreditation of a new undergraduate program, the Bachelor of Education (Primary) for offer in 2002 at both the Melbourne and Geelong campuses. In addition, planning was undertaken to introduce the full four years of the course at the Warrnambool campus from 2002.

Co-ordination and management of the undergraduate degree courses of the Faculty continues to improve and the appointment of an Associate Dean, Teaching and Learning from 2002 will further assist the Faculty to achieve the teaching and learning objectives. Consideration of the organisational structure of the Faculty will continue pending the appointment of new Heads of School during 2002.

In the area of computing access, the Faculty used its 2001 allocation of Major Equipment funding to provide mobile wireless teaching facilities at both Melbourne and Geelong campuses for its undergraduate teaching program.

The Faculty has continued the redevelopment of its Masters programs with a further four new generic units developed for offer in 2002. Development of a focused number of specialist units is continuing.

Following the retirements of five long serving members of the Faculty, new staff appointments were made in 2001 with a number of positions identified for appointment in 2002 following further retirements.

The Faculty has also continued its success in being awarded a number of government projects at both State and Federal level.



'Ballara', the Deakin family holiday home at Point Lonsdale

Papers of Alfred Deakin, MS1540 By permission of the National Library of Australia

Faculty of Health and Behavioural Sciences

The year 2001 was a significant year for the Faculty. In April/May, the Faculty was reviewed as part of the University's cyclical Faculty review process. This provided an opportunity to reflect on achievements and current strengths, and to consider new directions and strategies. The most significant outcome of the review was the endorsement by the Vice-Chancellor and Council of a recommendation that the Institute of Disability Studies (incorporating the School of Studies in Disability) be disestablished and its staff and programs relocated to the School of Health Sciences, from 1 February 2002.

A Disability Studies centre will be established and a working group is advising the Dean on its operations. Exciting developments have occurred in teaching and learning. A new midwifery course was offered for the first time in 2001 in collaboration with partner hospitals. At the request of OT Australia (Victoria), a Bachelor of Occupational Therapy has been developed for offering from 2002 at the Geelong Waterfront campus. The School of Health Sciences supported the Faculty of Education in its development of a Bachelor of Physical Education. In conjunction with the Institute of Koorie Education, an indigenous stream was introduced in the postgraduate health promotion area, and another is planned for the Bachelor of Nursing.

Mr Rob Price from the School of Health Sciences won the Jim and Alison Leslie Award for Teaching, in recognition of his outstanding contribution to teaching over many years. A revised Faculty Teaching and Learning Management Plan was approved by Faculty Board in November and relevant Faculty committee terms of reference amended to incorporate oversight of the implementation of the University and Faculty Teaching and Learning Management Plan. Meanwhile, schools have been reviewing their courses in terms of how each unit achieves the overall course objectives (including those identified in the Teaching and Learning Management Plan).

A new position, Faculty Educational Developer, was filled, and the position of Associate Dean (Teaching and Learning) advertised, late in 2001.

The research activities of the Metabolic Research Unit continued to expand under the leadership of Professor Greg Collier. Three additional areas involving Faculty staff were recognised as University Research Priority Areas: Social and Mental Health, Nutrition and Physical Activity in Population Health, and Chronic Care Nursing. Spending on research, staff participation, external funding and publication output all increased during 2001. Several new partnerships were established in 2001 (with St John of God — Geelong, the Eastern Health Care Network, Mayne Health, and Turning Point), and continuing partnerships were consolidated. A number of staff were seconded to industry, and the Faculty continued its partnership review program, to ensure the ongoing value of collaborative work.

International activities are expanding. A highlight of the year was the June launch of the School of Nursing's international Masters program in Copenhagen, Denmark, which was attended by over 200 people from a range of countries. This is the first Masters program for nurses in Denmark, and has created enormous interest. A similar model is planned for

Hong Kong and China. The Faculty's work in this area will be enhanced by the establishment of a Deakin International/Health and Behavioural Sciences Liaison Group from 2002.

With the appointment of a Faculty Marketing Coordinator, activities have increased considerably, and there were some exciting new initiatives in 2001, such as a Postgraduate Information Evening and two Media Round Tables. The Faculty continues to hold the lead in terms of market share for its undergraduate courses.

The Faculty's non-DEST income increased significantly in 2001, and the Faculty is investigating ways of increasing full-fee paying postgraduate enrolments. Each Head of School has developed a plan for expanding non-DEST income in 2002 and beyond.

Consolidation of Faculty facilities with laboratory refurbishment at Geelong and Melbourne campuses continued. Planning has commenced for the facilities that will house the University Department of Rural Health at Warrnambool campus.

These achievements would not be possible if it were not for outstanding staff. The Faculty continues to support staff through conference travel support and other professional development activities. Professor Lawrence St Leger, who was appointed Dean of the Faculty in 1995, retired at the end of 2001. The Faculty thank him for his outstanding contribution and acknowledge his leadership.

Faculty of Science and Technology

Outstanding success in research performance complemented by solid and improving progress in teaching and learning outcomes and resource management characterise the Faculty's achievements in 2001.

Total competitive grant income won by Faculty researchers in 2001 amounted to \$1.6 million and included 6 of 14 ARC Discovery grants and 17 of 25 ARC Linkage grants awarded to the University. It also included the only NH&MRC grant won by Deakin. This success is particularly pleasing given the concerted efforts the Faculty has made to focus its research priorities so as to significantly contribute to the positioning of Deakin in the top third of Australian universities based on research performance. Since embarking on this strategy, the Faculty has generated a four-fold increase in research funding, which reached \$4 million in 2000. Research productivity in the other key areas of publications output and research student supervision have also increased, so that by 2000 each academic staff member was on average publishing at a rate of more than one DEST classified publication per year and supervising over 1.7 research higher degree students.

The Faculty was delighted that in 2001 one of its architecture graduates won the prestigious Gates Scholarship to study at Cambridge University. This was one of only three such scholarships awarded in Australia and is testament to the strong international standing of the architecture program and to Deakin. More accolades were won by the School of Architecture and Building in the year, with Mr David Picken receiving the Vice-Chancellor's award for outstanding teaching and Mr Jeremy Ham receiving a commendation.

During the year the Faculty implemented the recommendations from the review of the Faculty undertaken in late 2000. This included the provision of more detailed planning and performance information as part of the Faculty's overarching quality assurance processes. The recently completed review of Faculty performance focusing on the areas of teaching and learning, research and human and capital resource management will become the annual reporting mechanism guiding the Faculty's future operational planning. It is aligned with the Faculty's strategic priorities and contains more detailed information at a level over which the Faculty can affect change, such as the School or course level.

The analysis in the Report on Faculty performance for 2001 indicates that the Faculty continues to enhance or maintain a generally high level of performance in all areas measured.

The Federal Cause
1880 - 1900
~~1870 - 1871 - 1877-8~~

Today Saturday March 12th
1898th after an all night
sitting & under conditions
of ^{great} nervous exhaustion & irritability
we have practically completed
the draft - Bill for the Constitution
of the Australian Commonwealth.
Whether it remains like the
predecessor a mere draft
marking only another stage
in the development of the
Federal principle or whether
it forms the bond of union
between the separate colonies
& the creator of a national
Australian Parliament

University governance and legislative matters

Establishment

Deakin University was established under the *Deakin University Act* (10 December 1974) (as amended). The Minister concerned is the State Minister for Post Compulsory Education, Training and Employment. For funding purposes and some aspects of strategy planning, the relevant Minister is the Federal Minister for Education, Science and Training (formerly Education, Training and Youth Affairs). On 1 August 1990 Deakin University at Geelong and Warrnambool Institute of Advanced Education merged under the provisions of the *Deakin University (Warrnambool) Act 1990*. On 31 December 1991 Deakin University merged with Victoria College to form a new Deakin University under the terms of the *Deakin University (Victoria College) Act 1991*.

Objectives

The objectives of Deakin University are provided in Section 5 of the *Deakin University Act* (1974) (as amended) as follows: to establish a university in the Geelong area; to maintain campuses of the University at Geelong, Warrnambool, Burwood, Malvern and such other place or places as are prescribed by the Statutes; to provide tertiary education at university level for students attending the University and to provide the opportunity for tertiary education at university level to all qualified persons whether within or outside Victoria by means of external studies programs; to arrange for the provision of study centres at appropriate places within or outside Victoria where students taking external studies programs can have access to library and other study facilities and can be given tutorial and practical experience; to provide all such programs of study as are prescribed by the Statutes and all such courses as are approved by the Academic Board from time to time; to aid by research and other means the advancement of knowledge and its practical application; and to confer after appropriate assessment the several degrees of Bachelor, Master and Doctor and grant such diplomas and certificates as are prescribed by the Statutes.

Nature and range of services

The University provides higher education for suitably qualified Australian and full-fee paying students through open-campus flexible-learning modes in which the place, time, mode and pace of study are flexible.

The University operated across six campuses in 2001: Geelong, Geelong Waterfront, Melbourne (Burwood), Rusden, Toorak and Warrnambool. Academic studies were coordinated through the faculties of:

- Arts;
- Business and Law;
- Education;
- Health and Behavioural Sciences; and
- Science and Technology.

Education and training is available at undergraduate, graduate and postgraduate levels covering the disciplines in these faculties and including professional courses, mid-career professional development courses and postgraduate studies by course work and research.

Through its commercial arm, DeakinPrime, the University also facilitates life-long learning. DeakinPrime bridges the gap between higher education and professional training organisations and has developed effective working partnerships with corporations and professional societies to design and offer courses that allow practising professionals:

- to retrain or upgrade qualifications;
- to acquire information on the latest developments in their field;
- to update and expand their knowledge and skills;
- to gain a university award; and
- to undertake a significant part of the program at their work location.

In summary, Deakin University provides services to undergraduate and postgraduate students in award and non-award courses. The professions, industry and government utilise the University's academic and other expertise through its professional development and continuing education programs, its teaching and its research and consultancy work.

The Council

The University is established under the *Deakin University Act (1974)* with the Council as its governing authority. The Act provides that the Council is responsible for the 'entire direction and superintendence of the affairs, concerns and property of the University', including conferring degrees and granting diplomas of the University; the appointment of the Vice-Chancellor and staff of the University; making, amending or revoking University legislation; ensuring that all University funds are used solely for University purposes; entering into and performing financial arrangements; dealing with property and forming and participating in certain business entities.

Functions of the University Council

Taking into account the requirements of the Deakin University Act, the Council has specified the following as its functions:

1. (a) Shaping, reviewing and approving strategic directions and priorities; and
(b) Monitoring progress against agreed goals.
2. The appointment, performance appraisal and remuneration of the Vice-Chancellor as chief executive.
3. Overseeing the establishment and effective operation of key policies, including those expressed in statutes and regulations.
4. Ensuring adequate risk management procedures and associated internal controls are established and effectively maintained.

5. Overseeing the effective and prudential operation of the University and assessing performance against key performance indicators agreed with management, and including approval and monitoring of budgets and financial plans.
6. Requiring and monitoring compliance with statutory and regulatory obligations.
7. Ensuring the fostering of an organisational culture consistent with Deakin University's vision, mission and values.
8. Ensuring a clear definition of the Council's role and the delegated responsibilities and authorities it assigns to the Vice-Chancellor and other officers and to Academic Board and other committees.
9. Ensuring that all the University's assets and resources are properly managed.
10. Establishing procedures to ensure the Council is in a position to discharge its responsibilities.
11. Effectively managing its own operations, including the appointment of the Chancellor, selection of new members and their induction, the proper execution by members of their fiduciary duties and periodic review of its own performance.

Composition, membership and terms of office

The Council comprises 21 members: the Chancellor; the Vice-Chancellor; the chair of the Academic Board; one University professor; a member of the academic staff; a member of the general staff; an undergraduate student of the University; a postgraduate student of the University; six persons appointed by the Governor in Council; one person appointed by the Minister; and six persons appointed by the Council.

The terms of office of members of the Council are specified in the Act. External members are appointed for a three-year term, members of University staff are elected for a two-year term and University students are elected for a one-year term. The Vice-Chancellor and the chair of Academic Board are ex-officio members.

Appointments to membership of the Council are made in accordance with a documented process that is approved and regularly reviewed by the Council. This procedure includes a check list aimed at ensuring that members hold between them a wide range of skills, experiences and qualities. Elections to membership of the Council are conducted in accordance with University legislation approved by the Council and in accordance with a procedure approved by the Vice-President (Administration) and secretary to the Council.

An induction program is implemented for all new members of the Council in accordance with a documented process approved by the Council.

Chancellor and Deputy Chancellor

The Council elects the Chancellor of the University for a term of three years or less. The Council also elects a number of Deputy Chancellors from among its external members for a term of one year or less.

The Chancellor, or a Deputy Chancellor in the absence of the Chancellor, presides at meetings of the Council.

The Chancellor is an ex-officio member of every University faculty, board and committee established by resolution of the Council, excepting that established to search for a Chancellor. The Chancellor may also preside at any of these meetings.

The Chancellor receives lists of graduands and confers degrees of the University on the Council's behalf and has authority to act on behalf of the Council in regard to such matters as Chancellor's Advisory Committee, a standing committee of the Council, determines cannot wait until the next meeting of the Council.

The Chancellor is responsible for conducting the annual review of the Vice-Chancellor's performance, primarily relating to outcomes in respect of the University's strategic objectives, and for reporting to the Council that the review has been undertaken.

Council performance review

The Council undertakes a formal and written annual review of its performance against its agreed functions and against a range of other priorities and operational matters. Outcomes of the review are documented in the form of an action plan for the forthcoming year, approved by the Council, and the Council subsequently receives a report of progress against this at each meeting.

Meetings

The Council presently meets six times each year at the University's Geelong Waterfront campus.

The role and responsibilities of Council members

The Council has specified the role and responsibilities of its members in a written statement that is periodically reviewed. The statement includes information about the legal responsibilities of the Council members; their liability and indemnity; the duties of the Council members as determined by the Council; and the responsibilities of the University in respect of the Council members. These responsibilities include providing members with such legal and financial advice as may be necessary to enable them to discharge their fiduciary duties.

Conflicts of interest

Members of the Council are required by law to disclose in writing at the end of each financial year the transactions they (or those people close to them) have had with the University during that financial period. The documented procedure for disclosure by the Council members of potential conflicts of interest and for disclosure of recent transactions with the University is approved and periodically reviewed by the Council. It is the responsibility of all members to ensure that they comply with this procedure, including the requirement that such disclosures shall be made in writing and that where the Council, or any of its committees, discuss or vote on issues that may be in conflict with a member's own interests, that member shall seek permission to abstain from the discussion and this shall be recorded in the minutes of the meeting.



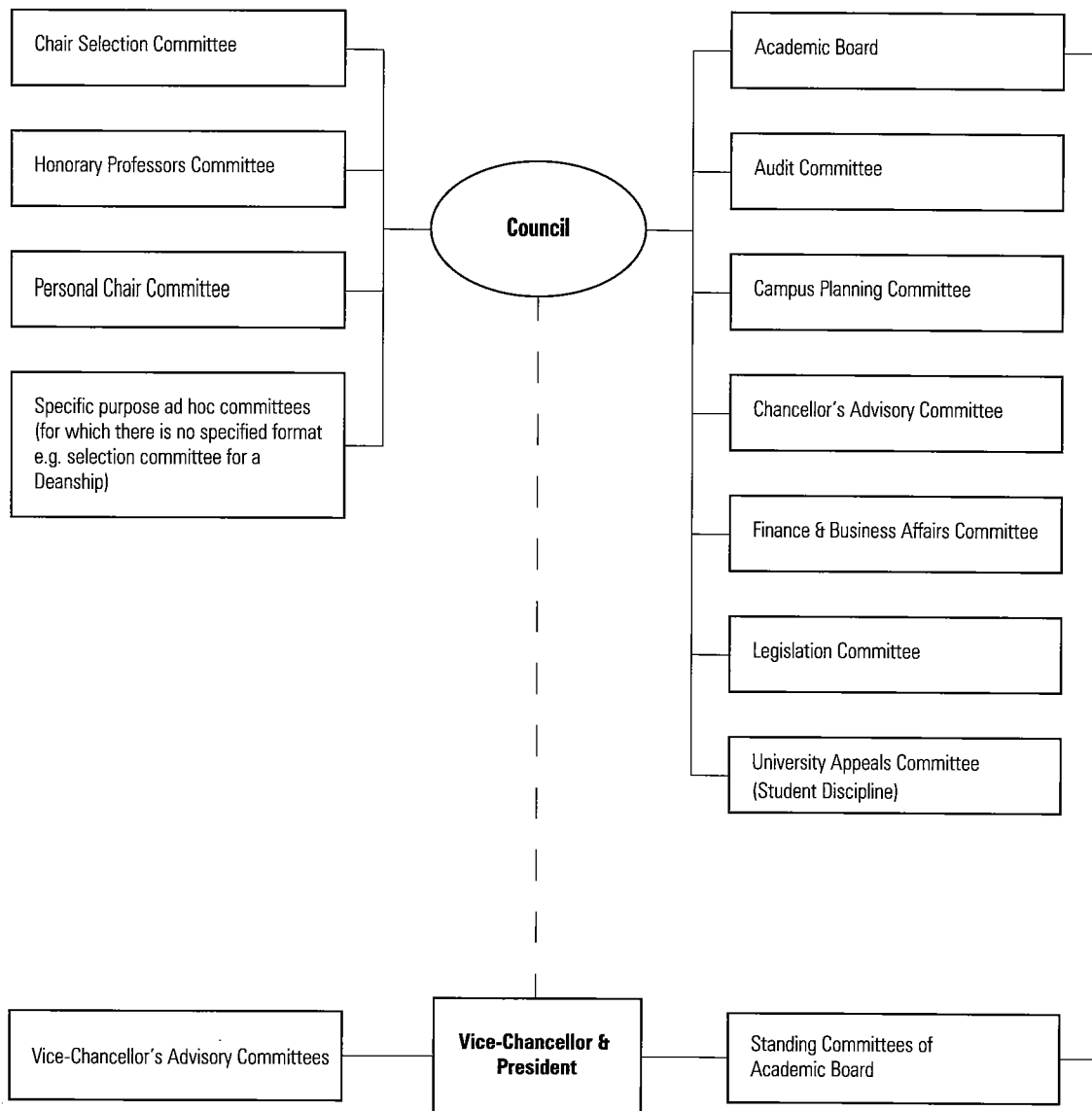
Alfred Deakin and his wife Pattie, 1907

Papers of Alfred Deakin, MS1540 By permission of the National Library of Australia

Committees of Council

In practice, much of the Council's work is undertaken through its system of standing and ad hoc committees. A diagrammatic representation of these, as a component of the University committee system is shown below.

Each standing committee of the Council has terms of reference setting out its responsibilities and a specified composition. The committees review their performance annually using an agreed, documented process and report and make any recommendations to the Council on the outcome.



Audit Committee

The responsibilities of Audit Committee include providing advice to the Council on University accounting, control and reporting practices and the management of corporate assets and risks. The Committee also considers external and internal audit reports and reviews internal audit policy. Audit Committee comprises five (5) members, each external to the University.

Campus Planning Committee

Campus Planning Committee comprises eight (8) members including three (3) external members of the Council, a member of the Council elected by the staff and the elected student member of the Council. The Committee advises and recommends to the Council on major and long-term campus planning and building matters, which are to be in accordance with the University's strategic plan, and on the development and implementation of the capital management plan. The Committee also reviews and approves relevant policy.

Chancellor's Advisory Committee

The responsibilities of Chancellor's Advisory Committee include:

- advising the Chancellor on matters the Committee considers need to be dealt with before the next scheduled meeting of the Council;
- undertaking such corporate governance responsibilities as the Council may determine from time to time including advising the Council on proposed appointments to the Council membership and on the appointment of chairpersons and members of the Council committees; advising the Council on the conduct, maintenance and orderly review of the Council operations; and advising the Council on the conduct and outcome of periodic reviews of performance by the Council and its committees; and
- advising the Council on recommendations for honorary awards of the University. The Committee comprises seven (7) members, these being the Chancellor, the Deputy Chancellors, the Vice-Chancellor, the Chair of Academic Board and the Vice-President (Administration).

Finance and Business Affairs Committee

The responsibilities of the Finance and Business Affairs Committee include:

- advising the Council on financial and investment matters including the University's rolling financial management plan — incorporating the capital management plan;
- reviewing and approving relevant policies;
- reviewing the financial performance of University companies and major commercial activities;
- approving material variations to approved University expenditure programs; and
- advising the Council on proposed University business ventures as a means of increasing non-government income.

The Committee comprises ten (10) members, including six (6) members of the Council, and an individual with substantial private sector financial management experience.

Legislation Committee

Legislation Committee comprises eight (8) members, three (3) of whom are members of the Council, one (1) being the elected student. The Committee is responsible for advising and recommending to the Council on any matter pertaining to the Deakin University Act and University statutes and regulations.

University Appeals Committee

University Appeals Committee comprises four (4) members of the Council, two (2) of whom are external members and one (1) who is an elected student. The Committee hears and determines appeals against findings of the University Discipline Committee in respect of matters of general misconduct by students.

Risk management

The Council is responsible for ensuring that adequate risk management procedures and associated internal controls are established and effectively maintained within the University. It undertakes this task using advice and reports on risk management from one of its standing committees, the Audit Committee.

The University has established risk registers and the adequacy and appropriateness of the risk controls outlined in these registers are tested by the Internal Audit unit in accordance with an annual plan approved by the Audit Committee. Where controls are deemed to be ineffective, specific action is implemented by University management, on the advice of the Internal Audit Manager, to reduce the risk exposure.

The University also has comprehensive insurance cover in place for insurable risks.

Compliance

The Council is responsible for ensuring that the University complies with external statutory and regulatory reporting requirements, and legislation applicable to the University. The Council also ensures that the University maintains and is compliant with key University policies and internal legislation. The Council receives annually registers of the University's compliance with these obligations, including reports of any exceptions with respect to meeting requirements.

The Council is assisted in meeting its compliance obligations by Audit Committee, which provides advice and recommendations. A comprehensive University compliance policy and program is currently being developed.

Names of members of the governing body

Members ex-officio

Mr R H Searby
MA *Oxon*, QC (Chancellor)

Professor G V H Wilson
AM, BSc DSc MSc *Melb*, PhD *Monash*, (Vice-Chancellor)

Professor B U Crassini
BA (Hons Psy), PhD *Qld* (Chair of Academic Board)

Members appointed by the Governor in Council

Mrs D J King
LLB *Melb*

Mrs J M McMahon

Mr D M Morgan
BCom *Melb*

Mr J J Tait
LLB(Hons) BA *Melb*

Dr P C Turner
BA(Hons) *WA*, LITT M *France*, PhD *Syd*

Mr D F Jones

Member appointed by the Minister

vacant

Member elected by and from the professors

Professor B J Garner
BSc (Hons) PhD *London*, DIC

Members appointed by the Council

Ms M L Edmond
BArch *Melb*,

Mrs L W Heath
AM, BEM

Dr P J Jones
BEng(Chem)(Hons) PhD *Qld*

Mr A J Kloeden
BSc(For)(Hons) MSc *Lond*

Ms M T Prendergast

Vacant

Member elected by and from the academic staff

Mr J Austin

BA(Hons) *Melb*, GradDipMedia MA *LaTrobe*

Member elected by and from the general staff

Ms F M O'Sullivan-Smith

RN RM BA *Deakin*, GradDipEdPub *RMIT*

Members elected by and from enrolled students

Mr R H Downing

Mr J Cripps Clark

BSc *UNSW*, DipEd *GIAE*, MAppSc *Monash*

Statutes

Statutes made or amended during 2001:

- Statute 3.1 — The Chancellor and Deputy Chancellors—amended
- Statute 3.2 — The Vice-Chancellor—amended
- Statute 3.8 — The Vice-President (Administration)—amended
- Statute 3.13 — The Deans, Head of Schools and Directors of Research—amended
- Statute 1.1 — Interpretation—revoked and remade
- Statute 3.8 — The Vice-President (Administration)—amended

Statutes made or amended during 2001 (not yet returned as approved by the Minister):

- Statute 3.1 — The Chancellor and Deputy Chancellors—amended
- Statute 3.2 — The Vice-Chancellor—amended
- Statute 3.3 — The Academic Staff—amended
- Statute 3.4 — Deputy Vice-Chancellor—revoked and remade
- Statute 3.7 — Vice-President (Academic)—new
- Statute 3.11 — Supernumerary Academic Staff—amended
- Statute 3.13 — Deans and Head of Schools—revoked and remade
- Statute 3.14 — Pro Vice-Chancellors—amended

All statutes can be viewed on the Deakin University Governance System.

Refer to <<http://dugs.deakin.edu.au>> under Organisation and Governance, Legislation, Legislation Index.

Freedom of information

Requests for access to documents are made to the Freedom of Information Manager, Mr R H Elliott, Vice-President (Administration).

The University received two requests for access to documents under the *Freedom of Information Act 1982* during the financial year.

One request was dealt with informally outside the parameters of the Act with access being given in full. Access was granted in full in the other case.

The average decision time was 22 days.

There was one request for internal review from an application made in 2000, in which the decision of the Freedom of Information Manager was varied.

There was one appeal to the Victorian Civil and Administrative Tribunal which has been heard and in which a decision has yet to be given.

National competition policy and competitive neutrality

That part of the policy contained in Part IV of the *Trade Practices Act 1974 (Cwlth)* has been implemented within the University. In 1997 a series of staff training seminars were held on metropolitan and regional campuses. A manual has been distributed to staff and is also available electronically as part of the Governance System.

Activities of the University affected by the Victorian Government's Competitive Neutrality Policy have been identified. The University has no Model 1 activities. Pricing principles for its Model 2 activities have been prepared and a users' manual distributed. It is also available electronically. Continuing training and seminars are available to staff upon request.

The University completed its review of internal legislation and reported the outcome to the Ministry of Education in November 1995.

Equity and equal opportunity

A comprehensive Equity and Diversity Plan was developed and endorsed in 2001. The strategies outlined in the plan are progressively being implemented. The Plan outlines a range of strategies to support the recruitment and promotion of women, Koories, people from non-English speaking backgrounds and people with disabilities.

Implementation of this plan is through the link program which requires faculties, divisions and budget centres to develop performance indicators relevant to their particular area. Progress is shared and monitored at bi-monthly meetings of the Equal Opportunity Advisory Committee.

Human Resources Services Division takes a lead in this area by ensuring relevant indicators are included in the Human Resources Plan required from each budget centre.

The University also submits regular reports to the Equal Opportunity for Women in the Workplace Agency.

In second semester 2001, training was undertaken for over 40 staff across the University as Harassment and Discrimination Advisors. These people will act as the first point of contact for students and staff with issues of concern.

The Equity and Equal Opportunity Unit conducts briefings and training sessions for students and staff across the University on a regular basis, with an emphasis on management responsibilities.

Occupational health and safety (OH&S)

During 2001 the University's occupational health and safety performance continued to improve overall. The various performance indicators are summarised in the table below. Although the number of WorkCover claims has progressively reduced, the costs and days lost associated with claims have not decreased in proportion. This has been mostly due to a few claims where prolonged absence from work was involved. The University's WorkCover Premium has again started to decrease after reaching a peak in 1999/2000. This is despite increased costs of the WorkCover scheme over recent years such as including superannuation in remuneration, restoration of common law and the introduction of the Goods and Services Tax (GST).

In mid-2001, the University developed and adopted a University Occupational Health and Safety Plan 2001-3. This draws together and complements the Occupational Health and Safety Plans drawn up by each budget centre. Health and Safety Committees involved staff from all six campuses. In 2001 a significant effort was made to bring University Occupational Health and Safety policies and procedures up to date.

	<i>LTI frequency rate</i>	<i>WorkCover frequency rate</i>	<i>Average lost time rate</i>	<i>Days lost per 100 EFT < 12 months</i>	<i>WorkCover costs per EFT < 12 months</i>	<i>Estimated premium costs per EFT</i>	<i>WorkCover premium rate (*)</i>
1994	9.83	19.37	7.6	19.10			0.44%
1995	8.72	19.47	10.4	22.94			0.32%
1996	6.42	19.00	11.7	21.59			0.31%
1996/7	7.15	18.82	10.7	16.10		\$153	0.31%
1997	7.26	16.57	9.3	17.16	\$43.94	\$190	0.38%
1997/8	4.69	12.60	13.7	14.02	\$37.43	\$246	0.50%
1998	5.47	15.32	9.7	11.36	\$33.66	\$328	0.58%
1998/9	5.48	15.03	10.4	17.79	\$45.96	\$430	0.77%
1999	5.07	12.56	8.4	16.51	\$44.13	\$409	0.75%
1999/2000	6.07	12.72	16.2	17.95	\$52.70	\$513	0.88%
2000	5.65	12.14	22.9	28.82	\$78.33	\$486 (\$535)	0.78% (0.92%)
2000/1	4.28	10.48	31.9	34.93	\$82.18	\$334 (\$368)	0.56% (0.66%)
2001	3.74	9.41	20.9	28.24	\$72.01	\$341 (\$374)	0.55% (0.65%)

(*) includes 17% Government loading

Performance Indicators	Number
University OH&S policies/procedures developed or reviewed	52
OH&S inspections and surveys	57
Workstation assessments	64
Persons participating in OH&S training	565
Number of staff given first aid training or refresher training	83
Evacuations carried out	35
Persons given fire safety training	318
Accident investigations	135
Hazard investigations	21
New WorkCover claims	35
New lost time injuries (included in new WorkCover claims)	14
OH&S Committees meetings	20
Non-staff accident investigations (students, visitors, contractors)	64
Number of counselling sessions as part of the Employee Assistance Program	106
Number of employees	2,170

The Emergency Plans Project that commenced in late 1998 continued during 2001 with 74 plans being prepared in 2001. The Project will result in adequate emergency signage in all University buildings. In late 2000 a Hazardous Substances/Dangerous Goods Audit was carried out across all University campuses. The actions plans from the audit were substantially completed in 2001.

In 2002, substantial resources will continue to be applied by the University to maintain existing OH&S programs and activities. In addition the University will develop specific initiatives in auditing, stress management and manual handling. Auditing has an important role in maintaining and improving health and safety. Specific funding has been obtained to carry out external audits during 2002. These will be in Plant Safety and Asbestos. In late 2001, development was started on an University-wide Manual Handling Program. The Program will assist budget centres to meet their requirements under the Victorian Manual Handling Regulations. The University is currently developing a Workplace Bullying Prevention Strategy, as well as developing other programs to reduce and manage workplace stress.

Statement of compliance with the Public Sector Management and Employment Act

Deakin University is required to comply with the reporting requirements under the *Public Sector Management and Employment Act (1997)* and this is done bi-annually. A review of this reporting is being undertaken by the Minister and relevant federal government agencies which may exempt Victorian universities from this requirement.

Compulsory fees

Fees and charges for 2001 assessed as at 31 December 2001	\$4,280,391
Fees collected as at 31 December 2001	\$4,248,332
Fees and charges outstanding as at 31 December 2001	\$32,059

The University paid the organisations detailed below:

Deakin University Student Association Inc.	\$3,723,514
Deakin University:	
Library	\$438,481
AASD — Handbook	\$69,791
AASD — Mail/courier	\$42,987
Distribution in excess of the fees and charges collected	\$26,441

The purposes for which Deakin University Student Association Inc. applied the funds

Distribution of fees and charges

General Service Fee (refer note below)	\$3,723,514
Other income	\$1,213,029
	\$4,936,543

Expenditure associated with services funded from the distribution of fees and charges

Student Council and administration	\$1,348,659
Communication and marketing	\$344,565
Education and student support	\$600,952
Leisure and direct services	\$2,419,910
	\$4,714,086
Surplus for 2001	\$222,457

Note: Reconciliation of fees and charges collected with the amount distributed for year ended 31 December 2000 resulted in an overpayment that will be recovered during 2002.

Payments to consultants

During the year the University engaged nil consultancy costing in excess of \$100,000.

Consultancies costing less than \$100,000 engaged during the year totalled 41. The total cost of these engagements was \$385,105.

Buildings report

All building works are designed to comply with the *Building Control Act 1993*. To ensure that all new buildings and works to existing buildings comply with current building standards and codes, where required the projects are referred to an independent building surveyor for certification. When certified, the plans are lodged with the local municipal council for their information.

When required, building surveyors have issued a Certificate of Occupancy or a Certificate of Final Inspection upon completion of works. Processes have been, or are being, put in place to ensure that the maintenance of these services meets relevant standards. Consultants were engaged to study all existing Deakin buildings and report on their compliance with current building regulations and standards. The audit findings considered that general compliance with current regulations and acts appear adequate and satisfactory for the current use of the buildings, subject to some areas and rectification works being completed. These works are planned to proceed in 2002. At the time of certification, all works comply with the standards. As codes change, some elements of building may no longer comply, but none of these changes has required the issuing of a notice of rectification.

Works carried out by external consultants or contractors are not exempt from the ten-year liability cap. Works performed wholly by University staff are covered by the University's professional indemnity insurance, up to a limit of \$10 million per employee.

When building practitioners are engaged to carry out works for the University, only registered building practitioners are used and this registration is checked prior to the engagement. Current registration is maintained during the engagement and there have been no cases of building practitioners becoming deregistered while engaged by the University.

During 2001 the following works and maintenance were undertaken:

• number of projects (in excess of \$50,000 completed in 2001)	11
• number of projects exempt from certification	6
• works in construction and subject to inspection	13
• occupancy certificates issues	3
• notices issued for rectification of sub-standard buildings	0

Fire detection services were installed at the Geelong campus childcare centre. Safety inspections were performed and included lifts, electrical switchboards, emergency lighting, cooling towers, air filters and air conditioning systems.

The University has active programs for upgrading access for the disabled and for identifying risk in its buildings and assets.

Additional information available on request

Consistent with the requirements of the *Financial Management Act 1994*, Deakin University has prepared material on the following items, details of which are available on request:

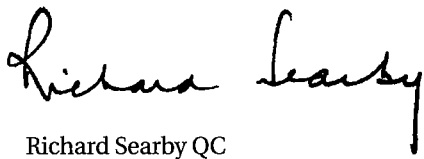
- publications;
- changes in prices, fees, charges, rates and levies;
- major external reviews;
- overseas visits undertaken;
- shares held beneficially by senior officers as nominees of a statutory authority or subsidiary;
- industrial relations issues; and
- major committees sponsored by Deakin University.

Enquiries regarding details of the above should be addressed to: Mr R H Elliott, Vice-President (Administration).

General

No major changes or factors occurred during 2001 which have affected the achievement of the University's operational objectives for the year.

No events occurred subsequent to balance date which may have a significant effect on operations in subsequent years.

A handwritten signature in black ink that reads "Richard Searby". The signature is written in a cursive style with a large initial 'R' and a long, sweeping tail on the 'y'.

Richard Searby QC

12 April 2002

Audited financial statements

as at 31 December 2001

**STATEMENT OF FINANCIAL PERFORMANCE FOR THE FINANCIAL YEAR ENDED
31 DECEMBER 2001**

	<i>Note</i>	<i>Consolidated</i>		<i>University</i>	
		<i>2001</i>	<i>2000</i>	<i>2001</i>	<i>2000</i>
		<i>\$000</i>	<i>\$000</i>	<i>\$000</i>	<i>\$000</i>
Revenue from ordinary activities	2	315,550	287,522	305,505	280,274
Employee benefits expense	2	(155,998)	(154,067)	(149,203)	(150,814)
Depreciation and amortisation expense	2	(21,767)	(22,415)	(21,135)	(22,127)
Other expenses from ordinary activities	2	(123,700)	(101,016)	(118,705)	(96,113)
Borrowing cost expense	2	(1,115)	(1,942)	(1,115)	(1,942)
Profit from ordinary activities before income tax expense		12,970	8,082	15,347	9,278
Income tax expense		82	38	—	—
Net profit from ordinary activities after income tax expense		12,888	8,044	15,347	9,278
Increase/(decrease) on revaluation of property, plant and equipment	15	10,539	456	10,539	456
Increase/(decrease) on revaluation of investments	15	(1,253)	44	(1,253)	44
Total revenue, expense and valuation adjustments recognised directly in equity		9,286	500	9,286	500
Total changes in equity other than those resulting from transactions with owners as owners		22,174	8,544	24,633	9,778

The accompanying notes form part of these financial statements.

STATEMENT OF FINANCIAL POSITION AS AT 31 DECEMBER 2001

	Note	Consolidated		University	
		2001 \$000	2000 \$000	2001 \$000	2000 \$000
CURRENT ASSETS					
Cash assets	3	40,238	46,122	39,920	44,950
Receivables	4	31,705	21,229	30,597	23,050
Inventories	5	5,236	5,504	5,236	5,549
Deferred income - government contributions for superannuation	19(a)	11,621	11,044	11,621	11,044
TOTAL CURRENT ASSETS		88,800	83,899	87,374	84,593
NON-CURRENT ASSETS					
Receivables	4	3,930	80	3,930	80
Investments	6	10,440	10,450	13,536	10,546
Property, plant and equipment	7	468,976	453,781	467,217	452,647
Deferred development and implementation costs	8	12,116	13,870	11,896	13,870
Deferred income — government contributions for superannuation	19(a)	133,840	132,481	133,840	132,481
Deferred tax assets	9	23	8	—	—
Intangible assets	10	775	989	—	—
TOTAL NON-CURRENT ASSETS		630,100	611,659	630,419	609,624
TOTAL ASSETS		718,900	695,558	717,793	694,217
CURRENT LIABILITIES					
Payables	11	20,142	19,190	16,185	17,395
Interest bearing liabilities	12	4,855	4,805	4,855	4,805
Provision for employee entitlements	13	12,435	12,114	11,968	11,751
Current tax liabilities	14	142	46	—	—
Deferred employee benefits for superannuation	19(b)	11,621	11,044	11,621	11,044
TOTAL CURRENT LIABILITIES		49,195	47,199	44,629	44,995
NON-CURRENT LIABILITIES					
Interest bearing liabilities	12	31,786	32,225	31,786	32,225
Provision for employee entitlements	13	16,475	18,255	16,230	17,873
Deferred employee benefits for superannuation	19(b)	133,840	132,481	133,840	132,481
Unfunded superannuation liability - SERB		307	275	307	275
TOTAL NON-CURRENT LIABILITIES		182,408	183,236	182,163	182,854
TOTAL LIABILITIES		231,603	230,435	226,792	227,849
NET ASSETS		487,297	465,123	491,001	466,368
EQUITY					
Asset revaluation reserve	15	280,340	271,054	280,340	271,054
Accumulated funds	16	206,957	194,069	210,661	195,314
TOTAL EQUITY		487,297	465,123	491,001	466,368

The accompanying notes form part of these financial statements.

STATEMENT OF CASH FLOWS FOR THE FINANCIAL YEAR ENDED 31 DECEMBER 2001

Inflows/(outflows)	Note	<i>Consolidated</i>		<i>University</i>	
		2001	2000	2001	2000
		\$000	\$000	\$000	\$000
CASH FLOWS FROM OPERATING ACTIVITIES					
Commonwealth government grants		115,548	104,066	115,548	104,066
Higher Education Contribution Scheme					
Student contributions		8,265	7,393	8,265	7,393
Commonwealth payments		51,436	47,987	51,436	47,987
Victorian government grants		884	458	884	458
Other research grants and contracts		13,366	8,232	10,641	8,236
Fees and charges		79,319	70,724	76,835	70,763
Investment income		3,710	2,868	4,130	3,052
Donations and bequests		227	707	227	706
Other		32,999	27,676	27,486	11,784
Salaries		(160,456)	(136,247)	(153,664)	(135,304)
Suppliers		(116,799)	(102,792)	(111,376)	(91,152)
Borrowing costs		(1,115)	(1,942)	(1,115)	(1,942)
GST recovered/(paid)		3,669	1,019	4,335	1,220
Net cash provided by operating activities	17	31,053	30,149	33,632	27,267
CASH FLOWS FROM INVESTING ACTIVITIES					
Proceeds from sale of property, plant and equipment		14,257	3,339	14,257	3,339
Proceeds from sale of investments		355	125	355	125
Purchase of land		(3,368)	(1,277)	(3,368)	(1,277)
Addition to buildings		(18,852)	(8,925)	(18,852)	(8,925)
Addition to leasehold improvements		(31)	(165)	(30)	(143)
Purchase of plant and equipment		(7,171)	(6,567)	(6,117)	(5,384)
Purchase of motor vehicles		(4,585)	(4,315)	(4,585)	(4,315)
Purchase of library holdings		(3,613)	(3,857)	(3,613)	(3,857)
Purchase of art works		(52)	(25)	(52)	(25)
Purchase of plant and equipment under finance leases		(168)	(190)	(168)	(190)
Deferred development and implementation costs		(2,016)	(8,804)	(1,796)	(8,804)
Intangible assets		—	(1,072)	—	—
Receivables — sale of property		(9,900)	—	(9,900)	—
Purchase of investments		(1,404)	(117)	(4,404)	(117)
Net cash used in investing activities		(36,548)	(31,850)	(38,273)	(29,573)
CASH FLOWS FROM FINANCING ACTIVITIES					
Borrowings		3,773	15,141	3,773	15,141
Repayment of borrowings		(4,162)	(3,688)	(4,162)	(3,688)
Net cash provided by/(used in) financing activities		(389)	11,453	(389)	11,453
Net increase/(decrease) in cash held		(5,884)	9,752	(5,030)	9,147
Cash at the beginning of the financial year		46,122	36,370	44,950	35,803
Cash at the end of the financial year	17	40,238	46,122	39,920	44,950

The accompanying notes form part of these financial statements.

1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

The financial statements and accompanying notes constitute a general purpose financial report which has been prepared in accordance with the *Victorian Financial Management Act 1994*, Australian Accounting Standards, other authoritative pronouncements of the Australian Accounting Standards Board and Urgent Issues Group Consensus Views.

The financial report is prepared in accordance with the historical cost convention, except for certain assets which, as noted, are at valuation.

All amounts in the financial statements have been rounded to the nearest thousand dollars.

Unless otherwise stated, the accounting policies are consistent with those of the prior year.

(a) PRINCIPLES OF CONSOLIDATION

The consolidated accounts incorporate the assets and liabilities of all entities controlled by Deakin University as at 31 December 2001 and the results of all controlled entities for the year then ended. A list of the controlled entities is included in note 24.

The accounts of controlled entities are prepared for the same reporting period as the University, using consistent accounting policies. Adjustments are made to bring into line any dissimilar accounting policies which may exist.

All inter-entity balances and transactions, and unrealised profits arising from intra-economic entity transactions, have been eliminated on consolidation.

(b) REVENUE

Revenue from grants is recognised when the University gains control of the contribution or the right to receive contributions. Revenue from fees and charges are recognised when assessed. Revenue from the sale of goods is recognised upon the delivery of goods to customers. Revenue from the rendering of a service is recognised upon the delivery of the service to customers or in accordance with governing contracts. Interest revenue is recognised on an accrual basis. Dividend revenue is recognised when received. Donations and bequests are recognised when received by the University.

(c) FOREIGN CURRENCY TRANSACTIONS

Foreign currency transactions during the year are converted to Australian currency at rates of exchange applicable at the dates of the transactions or, where applicable, the contracted exchange rate. Amounts receivable and payable in foreign currencies at balance date are converted at the rates of exchange ruling at that date or where applicable, the contracted exchange rate. The gains or losses from conversion of short-term assets and liabilities, whether realised or unrealised, are included in operating profit as they arise.

Costs or gains arising at the time of hedged transactions for the purchases and sale of goods and services, and exchange differences that occur up to the date of purchase or sale, are deferred and included in the measurement of the purchase or sale.

Translation of Foreign Controlled Entities

Foreign controlled entities are integrated foreign operations, their assets and liabilities are translated into Australian dollars using the temporal method. Any exchange differences arising from translation of monetary items are brought to account as either a revenue or expense unless they relate to qualifying assets.

(d) INCOME TAX

Deakin University is exempt from income tax. Those controlled entities not exempt from income tax adopt the liability method of tax-effect accounting whereby the income tax expense is based on the operating profit adjusted for any permanent differences in accordance with AASB1020 *Income Tax*.

Timing differences which arise due to different accounting periods in which the terms of revenue and expense are included in the determination of accounting profit and taxable income are brought to account as either a provision for deferred income tax or as a future income tax benefit at the rate of income tax applicable to the period in which the benefit will be received or the liability will become payable.

Future income tax benefits are not brought to account unless realisation of the asset is assured beyond reasonable doubt. Future income tax benefits in relation to tax losses are not brought to account unless there is virtual certainty of realisation of the benefit.

1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

(e) CASH

For purposes of the statement of cash flows, cash includes cash, deposits at call, short-term investments and investments with managed funds.

(f) INVENTORIES

Inventories of stationery and stores held in the University's central store are valued at latest purchase price which approximates historical cost due to the high rate of turnover. Inventories are valued at the lower of cost and net realisable value. Small stocks of materials purchased by budget centres and held under their control are expensed at the time of purchase.

(g) INVESTMENTS

Units held in the BT Fund and shares in listed companies are treated as long-term investments and are shown at market value at balance date. Any valuation variations are transferred directly to the Asset Revaluation Reserve. Other investments are brought to account at cost. Dividend income is recognised as revenue when received.

(h) PROPERTY, PLANT AND EQUIPMENT

Land, buildings and art works are carried at independent valuation which are normally conducted every three years. Transactions between valuations are carried at cost. The cost of buildings constructed by the University include the cost of materials, direct labour and borrowing costs. Equipment, furniture, motor vehicles, work in progress and library holdings are carried at cost.

At 31 December 2000 library holdings were carried at cost and at valuation (refer note 7). The Department of Treasury and Finance policy on Recognition and Valuation of Non-Current Physical Assets determined that the provisions of this policy must be complied with, except for classes of plant and equipment and vehicles (including finance leases). Library holdings fall within these excepted classes. Using the transitional provisions of Revaluation of Non-Current Assets AASB 1041, library holdings held at valuation at 31 December 2000 were reverted to cost at 1 January 2001 by deeming the net carrying amount at that date to be cost. Depreciation of library holdings for the financial year ended 31 December 2001 has been based on the cost amount. The application of the transition of the carrying value to cost has reduced depreciation in the current financial year by \$2,498,000.

Where non-current assets are revalued, the net revaluation increment or decrement arising in the reporting period is accounted for as follows:

- (i) an increment is credited directly to an asset revaluation reserve except that, to the extent that the increment reverses a revaluation decrement previously recognised as an expense in respect of that same class of assets, it is recognised as revenue for the reporting period; and
- (ii) a decrement is recognised as an expense except that, to the extent that the decrement reverses a revaluation increment previously credited to, and still included in the balance of an asset revaluation reserve in respect of that same class of assets, it is debited directly to that revaluation reserve.

Any gain or loss on the disposal of revalued assets is determined as the difference between the carrying value of the asset at the time of disposal and the proceeds from disposal, and is included in the result of the University in the year of disposal.

Depreciation is calculated on a straight-line basis to write off the net cost or revalued amount of each item of property, plant and equipment with a unit value of \$2,000 or more, other than freehold land and artworks, over its expected useful life to the entity.

Estimates of useful lives are made on acquisition of all assets and reassessed annually and depreciation rates set accordingly.

Major depreciation periods are:

Freehold buildings	maximum 50 years
Leasehold improvements	the shorter of the term of the lease or the life of the improvement
Computer equipment	maximum 4 years
Motor vehicles	maximum 10 years
Printing equipment and photocopiers	maximum 5 years
All other equipment, furniture, leased assets and library holdings	maximum 15 years

The depreciation rates applied are consistent with the prior year.

1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

(i) LEASED NON-CURRENT ASSETS

The University adopts the provisions of Australian Accounting Standard AASB1008 *Leases* in respect of the assets of the University which are the subject of finance leases.

(j) DEFERRED DEVELOPMENT AND IMPLEMENTATION COSTS

Development costs are expensed as incurred or deferred where it is expected beyond any reasonable doubt that sufficient future benefits will be derived so as to recover those capitalised costs.

Implementation costs are capitalised and upon completion are amortised over a life of seven years for systems used in the administration of the University. Software developed for licensing to external parties is amortised over five years.

(k) RECOVERABLE AMOUNT

Non-current assets are reviewed annually to ensure they are not carried at an amount which is above their recoverable amount. Where the recoverable amount is less than the carrying values of non-current assets, the assets are written down. The recoverable amount of non-current assets is determined on an undiscounted cash flow basis.

(l) INTANGIBLE ASSET

During 2000 the University formed a company Australasian Human Resources Institute Pty Ltd, to acquire some assets and management rights for a minimum period of five years of the company formerly known as Australian Human Resources Institute. It is valued in the accounts at cost of acquisition and is being amortised over five years from the date of the initial agreement.

(m) EMPLOYEE ENTITLEMENTS

Provision has been made for recreation and long service leave entitlements estimated to be payable to employees on the basis of statutory and contractual requirements. All recreation leave and long service leave entitlements expected to be settled within twelve months have been accrued at their nominal amount calculated on the basis of current wages and salary rates and on costs and shown as current liabilities. Long service leave entitlements payable later than twelve months have been measured at the present value of expected future payments, including on costs, to be made in respect of services provided by employees up to the reporting date. Expected future payments are discounted using interest rates on national government guaranteed securities with terms to maturity that match, as closely as possible, the estimated future cash outflows.

(n) UNFUNDED SUPERANNUATION LIABILITIES

Prior to 31 December 1998 the notional share of the State Superannuation Fund's unfunded liabilities attributable to Deakin University were disclosed by way of note to the accounts.

As from 31 December 1998 the Victorian Department of Treasury and Finance determined that this unfunded superannuation liability should be recorded as a liability and an equivalent amount recorded as a receivable from the Commonwealth. Further details are provided in note 19.

(o) EQUITY

Equity represents the residual interest in the net assets of the University. The State Government holds the equity interest in the University on behalf of the community.

Equity consists of the Asset Revaluation Reserve and Accumulated Funds.

(p) COMPARATIVE FIGURES

Where necessary, comparative figures have been adjusted to conform with changes in presentation in the current year.

2. OPERATING RESULT

	Note	Consolidated		University	
		2001	2000	2001	2000
		\$000	\$000	\$000	\$000
Revenue from ordinary activities					
Operating activities					
Commonwealth government grants					
DEST legislated grants	2.1	97,878	94,456	97,878	94,456
Other		3,034	2,441	3,034	2,441
Higher Education Contribution Scheme					
Student contributions		8,265	7,393	8,265	7,393
Commonwealth payments		50,465	48,853	50,465	48,853
Victorian government grants	2.2	884	458	884	458
Superannuation					
Deferred government contribution		1,936	5,501	1,936	5,501
Commonwealth supplementation		11,781	11,044	11,781	11,044
Fees and charges	2.3	71,418	67,715	71,508	67,715
Investment income	2.4	3,681	3,385	4,101	3,569
Royalties		8,654	3,093	7,187	2,409
Consultancy and contract research	2.5	12,720	8,737	10,161	8,055
Other revenue	2.6	26,708	26,004	20,179	19,939
		297,424	279,080	287,379	271,833
Outside the operating activities					
Donations and bequests		3,514	4,978	3,514	4,977
Proceeds from sale of property, plant and equipment		14,257	3,339	14,257	3,339
Proceeds from sale of investments		355	125	355	125
Total revenue		315,550	287,522	305,505	280,274
Expenses					
Employee benefits	2.7	155,998	154,067	149,203	150,814
Depreciation and amortisation — property, plant and equipment	2.8	17,784	18,173	17,366	18,064
Amortisation — deferred development and implementation costs		3,769	4,063	3,769	4,063
Amortisation — intangible assets		214	179	—	—
Borrowing costs		1,115	1,942	1,115	1,942
Buildings and grounds		9,682	10,156	9,682	10,156
Bad and doubtful debts	2.9	1,404	482	1,398	(20)
Written-down value of disposed property, plant and equipment		18,688	3,282	18,676	3,282
Other expenses	2.10	93,926	87,096	88,949	82,695
Total expenses		302,580	279,440	290,158	270,996
Operating result before income tax		12,970	8,082	15,347	9,278

2.1 COMMONWEALTH GOVERNMENT GRANTS

	<i>Consolidated</i>		<i>University</i>	
	<i>2001</i>	<i>2000</i>	<i>2001</i>	<i>2000</i>
	<i>\$000</i>	<i>\$000</i>	<i>\$000</i>	<i>\$000</i>
Commonwealth government grants pursuant to the <i>Higher Education Funding Act 1988</i> , excluding HECS:				
Operating purposes excluding HECS	92,468	89,863	92,468	89,863
Special research assistance:				
Large research grants	1,597	1,181	1,597	1,181
Small research grants	406	469	406	469
Research infrastructure grants	625	885	625	885
Australian postgraduate awards	1,167	1,062	1,167	1,062
International postgraduate research scholarships	111	118	111	118
International Researcher Exchange	32	32	32	32
Research fellowships	124	156	124	156
SPIRT - (Including APA (Industry) & collaborative)	1,348	690	1,348	690
Total Commonwealth government grants excluding HECS	97,878	94,456	97,878	94,456

2.2 VICTORIAN GOVERNMENT GRANTS

Victorian government grants from the following departments were received during the reporting period:

VicHealth	266	123	266	123
Department of Employment, Education and Training	19	109	19	109
Department of State and Regional Development	105	98	105	98
Department of Human Services	165	5	165	5
Parks Victoria	89	1	89	1
Barwon Health	66	10	66	10
Food Science Australia	42	—	42	—
Dairy Research & Development Corporation	64	43	64	43
Industry Science Resources	15	40	15	40
Other	53	29	53	29
	884	458	884	458

2.3 FEES AND CHARGES

Fees and charges were collected from the following sources during the reporting period:

Fee-paying overseas students	22,010	20,059	22,010	20,059
Fee-paying non-overseas postgraduate students	8,489	7,197	8,489	7,197
Non-overseas students undertaking non-award courses	2,199	424	2,199	424
Fee-paying non-overseas undergraduate students	1,969	1,527	1,969	1,527
Student accommodation fees	4,972	5,031	4,972	5,031
Rental income	1,553	1,199	1,547	1,199
Educational materials fees	11,942	12,193	11,942	12,193
Examination fees	2,688	2,536	2,688	2,536
General service fees	4,389	4,188	4,389	4,188
Course delivery fees	8,065	6,824	8,065	6,824
Parking fees	1,536	1,365	1,536	1,365
Other fees	1,606	5,172	1,702	5,172
	71,418	67,715	71,508	67,715

Other fees include special course fees, gown hire and other tuition fees.

2.4 INVESTMENT INCOME

	<i>Consolidated</i>		<i>University</i>	
	<i>2001</i>	<i>2000</i>	<i>2001</i>	<i>2000</i>
	<i>\$000</i>	<i>\$000</i>	<i>\$000</i>	<i>\$000</i>
Interest	1,985	2,879	2,405	3,063
Dividends	1,617	491	1,617	491
Unrealised gain on investments	79	15	79	15
	3,681	3,385	4,101	3,569

2.5 CONSULTANCY AND CONTRACT RESEARCH

Consultancy	6,338	3,175	3,779	2,493
Research	6,382	5,562	6,382	5,562
	12,720	8,737	10,161	8,055

2.6 OTHER REVENUE

Sales and commercial income	12,889	13,953	12,234	12,177
Scholarship and prizes	416	159	416	159
Advertising income	995	817	—	—
Subscriptions	2,288	1,007	124	65
Convention income	1,285	1,418	—	—
Training	1,077	662	—	—
Other*	7,758	7,988	7,405	7,538
	26,708	26,004	20,179	19,939

*Other revenue includes insurance proceeds, chargeable building works and entrepreneurial activities.

2.7 EMPLOYEE BENEFITS

Academic staff salaries	54,901	53,647	54,901	53,647
Non-academic staff salaries	61,923	52,349	56,181	50,061
Contributions to superannuation and pension schemes:				
Deferred employee benefits for superannuation	1,936	5,501	1,936	5,501
Emerging cost - excess over 14%	11,621	10,938	11,621	10,938
Funded	17,165	15,661	16,696	15,372
Non-funded	53	(9)	53	(9)
Payroll tax	7,725	7,512	7,376	7,242
WorkCover	949	1,044	926	1,037
Long service leave	(1,962)	7,408	(1,824)	7,036
Annual leave	506	(289)	403	(304)
Other	1,181	305	934	293
	155,998	154,067	149,203	150,814

2.8 DEPRECIATION AND AMORTISATION

Buildings	6,970	6,399	6,970	6,399
Leasehold improvements	313	44	311	44
Equipment and furniture	5,495	4,792	5,079	4,683
Motor vehicles	824	800	824	800
Library holdings	3,994	5,678	3,994	5,678
Finance leases	188	460	188	460
	17,784	18,173	17,366	18,064

2.9 BAD AND DOUBTFUL DEBTS

Bad debts written off	349	5	343	5
Movement in provision for doubtful debts	1,055	477	1,055	(25)
	1,404	482	1,398	(20)

Notes to and forming part of the Consolidated Financial Statements for the Financial Year Ended 31 December 2001

2.10 OTHER EXPENSES

	<i>Consolidated</i>		<i>University</i>	
	2001	2000	2001	2000
	\$000	\$000	\$000	\$000
Consultants and contractors	5,997	6,712	5,762	5,688
Equipment costs	5,000	4,743	4,739	4,710
Operating lease rentals	5,345	4,206	4,717	3,947
Copying, printing, stationery and consumables	10,921	8,857	10,787	8,806
Communication costs	8,029	8,301	7,534	7,882
Direct project costs	20,199	19,776	20,305	19,669
Non-capitalised equipment	2,137	3,504	2,105	3,494
Library expenses	1,500	899	1,494	898
Staffing and related costs	10,315	8,390	9,481	7,843
Student expenses	13,589	13,480	13,589	13,480
Fringe benefits tax	866	833	712	764
Other	10,028	7,395	7,724	5,514
	93,926	87,096	88,949	82,695
3. CASH ASSETS				
Cash at bank	4,335	1,488	4,018	317
Cash on hand	61	35	60	34
Bank deposits - on call	—	1,128	—	1,128
Managed fund	27,242	38,411	27,242	38,411
Income securities	8,188	5,060	8,188	5,060
Bank term deposits	412	—	412	—
	40,238	46,122	39,920	44,950
4. RECEIVABLES				
CURRENT				
Trade debtors	18,960	15,967	15,326	14,594
Provision for doubtful debts	(1,157)	(617)	(1,157)	(115)
	17,803	15,350	14,169	14,479
Government grants receivable	—	3,372	—	3,372
Accrued income	4,318	879	4,142	765
Prepayments	2,558	803	2,526	703
GST recoverable	705	608	683	621
Owing by other entities	6,321	217	6,321	231
Owing by controlled entities	—	—	2,756	2,879
	31,705	21,229	30,597	23,050
NON-CURRENT				
Owing by other entities	3,930	80	3,930	80
5. INVENTORIES				
Central stores	2,353	2,246	2,353	2,246
Bookshops	1,294	1,527	1,294	1,527
Work in progress — projects	1,589	1,731	1,589	1,776
	5,236	5,504	5,236	5,549

Notes to and forming part of the Consolidated Financial Statements for the Financial Year Ended 31 December 2001

6. INVESTMENTS

	Note	Consolidated		University	
		2001 \$000	2000 \$000	2001 \$000	2000 \$000
Maturing 5 or more years					
Shares portfolio — market value		3,674	3,686	3,674	3,686
Units in BT Fund — market value		6,648	6,754	6,648	6,754
Other		118	10	118	10
Shares in controlled entities		—	—	3,096	96
		10,440	10,450	13,536	10,546

7. PROPERTY, PLANT AND EQUIPMENT

LAND					
At independent valuation 2001	7(a)	76,932	—	76,932	—
At independent valuation 1998		—	61,605	—	61,605
At cost		—	5,847	—	5,847
		76,932	67,452	76,932	67,452
BUILDINGS					
At independent valuation 2001	7(a)	303,111	—	303,111	—
At independent valuation 1998		—	293,673	—	293,673
At cost		—	37,523	—	37,523
Accumulated depreciation		—	(12,765)	—	(12,765)
Borrowing costs capitalised		1,438	569	1,438	569
Work in progress		20,080	3,612	20,080	3,612
		324,629	322,612	324,629	322,612
LEASEHOLD IMPROVEMENTS					
At cost		756	725	733	703
Accumulated amortisation		(454)	(141)	(452)	(141)
		302	584	281	562
EQUIPMENT AND FURNITURE					
At cost		53,355	57,293	51,081	56,056
Accumulated depreciation		(35,627)	(40,129)	(35,091)	(40,004)
		17,728	17,164	15,990	16,052
MOTOR VEHICLES					
At cost		7,589	7,268	7,589	7,268
Accumulated depreciation		(1,215)	(1,371)	(1,215)	(1,371)
		6,374	5,897	6,374	5,897
LIBRARY HOLDINGS					
At cost		43,388	59,198	43,388	59,198
At independent valuation 1992	7(b)	—	13,191	—	13,191
Accumulated depreciation		(3,994)	(35,888)	(3,994)	(35,888)
		39,394	36,501	39,394	36,501
ART COLLECTION					
At independent valuation 2000	7(b)	3,052	3,052	3,052	3,052
At cost		66	—	66	—
		3,118	3,052	3,118	3,052
FINANCE LEASES					
At cost		1,660	1,510	1,660	1,510
Accumulated depreciation		(1,161)	(991)	(1,161)	(991)
		499	519	499	519
		468,976	453,781	467,217	452,647

7. PROPERTY, PLANT AND EQUIPMENT (CONTINUED)

(a) The land and buildings were valued by Craig Cunningham & Co (Real Estate) Pty Ltd at 31 December 2001. The valuation was on the basis of open market value of land and buildings concerned in their existing use. These valuations are in accordance with the University's policy of obtaining an independent valuation of non-current assets every three years.

(b) The art collection was valued by McWilliam & Associates Pty Ltd in 2000. The valuation was on the basis of replacement values.

These valuations are in accordance with the University's policy of obtaining an independent valuation of non-current assets every three years.

	<i>Consolidated</i>		<i>University</i>	
	<i>2001</i>	<i>2000</i>	<i>2001</i>	<i>2000</i>
	<i>\$000</i>	<i>\$000</i>	<i>\$000</i>	<i>\$000</i>
CAPITALISED BORROWING COSTS				
Borrowing costs incurred	1,132	935	1,132	935
Investment revenue earned on borrowed funds	(263)	(599)	(263)	(599)
	869	336	869	336
Capitalisation rate used in the allocation of borrowing costs to buildings	2.90%	1.63%	2.90%	1.63%

MOVEMENTS IN CARRYING AMOUNTS

	Balance at beginning of year	Additions	Disposals	Depreciation expense	Revaluation	Carrying amount at end of year
Consolidated						
Land	67,452	3,368	9,000	—	15,112	76,932
Buildings	322,612	18,850	5,290	6,970	(4,573)	324,629
Leasehold improvements	584	31	—	313	—	302
Equipment and furniture	17,164	7,173	1,114	5,495	—	17,728
Motor vehicles	5,897	4,585	3,284	824	—	6,374
Library holdings	36,501	6,887	—	3,994	—	39,394
Artworks	3,052	66	—	—	—	3,118
Finance leases	519	168	—	188	—	499
	453,781	41,128	18,688	17,784	10,539	468,976
University						
Land	67,452	3,368	9,000	—	15,112	76,932
Buildings	322,612	18,850	5,290	6,970	(4,573)	324,629
Leasehold improvements	562	30	—	311	—	281
Equipment and furniture	16,052	6,119	1,102	5,079	—	15,990
Motor vehicles	5,897	4,585	3,284	824	—	6,374
Library holdings	36,501	6,887	—	3,994	—	39,394
Artworks	3,052	66	—	—	—	3,118
Finance leases	519	168	—	188	—	499
	452,647	40,073	18,676	17,366	10,539	467,217

8. DEFERRED DEVELOPMENT AND IMPLEMENTATION COSTS

	<i>Note</i>	<i>Consolidated</i>		<i>University</i>	
		<i>2001</i>	<i>2000</i>	<i>2001</i>	<i>2000</i>
		<i>\$000</i>	<i>\$000</i>	<i>\$000</i>	<i>\$000</i>
DEFERRED DEVELOPMENT COSTS					
Student information system		11,714	9,870	11,714	9,870
Callista research		220	—	—	—
		11,934	9,870	11,714	9,870
Accumulated amortisation		(6,502)	(4,268)	(6,502)	(4,268)
		5,432	5,602	5,212	5,602
DEFERRED IMPLEMENTATION COSTS					
Student information system		4,868	4,868	4,868	4,868
Human resources system		405	405	405	405
Oracle financials system		4,923	4,923	4,923	4,923
Other		241	289	241	289
		10,437	10,485	10,437	10,485
Accumulated amortisation		(3,753)	(2,217)	(3,753)	(2,217)
		6,684	8,268	6,684	8,268
		12,116	13,870	11,896	13,870

9. DEFERRED TAX ASSETS

Future income tax benefit		23	8	—	—
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10. INTANGIBLE ASSETS

Management rights		1,168	1,168	—	—
Accumulated amortisation		(393)	(179)	—	—
		775	989	—	—

11. PAYABLES

	<i>Consolidated</i>		<i>University</i>	
	<i>2001</i>	<i>2000</i>	<i>2001</i>	<i>2000</i>
	<i>\$000</i>	<i>\$000</i>	<i>\$000</i>	<i>\$000</i>
Creditors and accrued expenses	11,477	15,968	10,987	15,433
Funds held on behalf of Education Victoria	-	1,097	-	1,097
Income received in advance	8,665	2,125	5,198	865
	20,142	19,190	16,185	17,395

12. INTEREST BEARING LIABILITIES

CURRENT

Unsecured borrowings					
Bank loans		2,273	2,166	2,273	2,166
DEST (formerly DETYA) loan		2,199	2,338	2,199	2,338
Finance leases	20	374	292	374	292
Secured by mortgage					
Other		9	9	9	9
		4,855	4,805	4,855	4,805

NON-CURRENT

Unsecured borrowings					
Bank loans		27,824	29,464	27,824	29,464
DEST (formerly DETYA) loan		3,500	2,151	3,500	2,151
Finance leases	20	350	490	350	490
Secured by mortgage					
Other		112	120	112	120
		31,786	32,225	31,786	32,225
Due later than one year and not later than two years		2,030	4,116	2,030	4,116
Due later than two years and not later than three years		2,342	1,968	2,342	1,968
Due later than three years and not later than five years		7,631	4,066	7,631	4,066
Due later than five years and not later than ten years		12,216	11,982	12,216	11,982
Due later than ten years		7,567	10,093	7,567	10,093
		31,786	32,225	31,786	32,225

13. PROVISION FOR EMPLOYEE ENTITLEMENTS

	<i>Consolidated</i>		<i>University</i>	
	2001	2000	2001	2000
	\$000	\$000	\$000	\$000
Aggregate employee entitlements, including on-costs				
CURRENT				
- Recreation leave	10,581	10,086	10,165	9,765
- Long service leave	1,854	2,028	1,803	1,986
	12,435	12,114	11,968	11,751
NON-CURRENT				
- Long service leave	16,475	18,255	16,230	17,873
	16,475	18,255	16,230	17,873

The following rates per note 1(m) have been used to discount long service leave entitlements:

	<i>Service Year</i>	2001	2000
		%	%
Wage inflation rate		6.40	6.50
Discount rates	25+	—	—
	24	4.14	6.58
	23	4.46	5.31
	22	4.90	5.26
	21	5.23	5.28
	20	5.38	5.32
	19	5.55	5.35
	18	5.75	5.41
	17	5.87	5.45
	16	5.94	5.46
	15	6.00	5.46
	14	6.07	5.47
	0-13	6.14	5.49

14. CURRENT TAX LIABILITIES

Provision for income tax	142	46	—	—
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15. ASSET REVALUATION RESERVE

Balance at beginning of year	271,054	270,554	271,054	270,554
Increase/(decrease) in asset valuation of non-current assets are:				
Land	15,112	—	15,112	—
Buildings	(4,573)	—	(4,573)	—
Art collection	—	456	—	456
Investments	(1,124)	64	(1,124)	64
Realised profit on sale of investments	(129)	(20)	(129)	(20)
	280,340	271,054	280,340	271,054

16. ACCUMULATED FUNDS

Balance at beginning of year	194,069	186,025	195,314	186,036
Net profit from ordinary activities after income tax expense	12,888	8,044	15,347	9,278
	206,957	194,069	210,661	195,314

17. STATEMENT OF CASH FLOWS

	<i>Consolidated</i>		<i>University</i>	
	2001	2000	2001	2000
	\$000	\$000	\$000	\$000
RECONCILIATION OF CASH				
Cash at bank and on hand	4,396	1,523	4,078	351
Bank deposits — on call	—	1,128	—	1,128
Managed fund	27,242	38,411	27,242	38,411
Income securities	8,188	5,060	8,188	5,060
Bank term deposits	412	—	412	—
	40,238	46,122	39,920	44,950
RECONCILIATION OF NET CASH PROVIDED BY/(USED IN) OPERATING ACTIVITIES TO OPERATING RESULT				
Net profit from ordinary activities after income tax expense	12,888	8,044	15,347	9,278
Add non-cash items				
Depreciation and amortisation — property, plant and equipment	17,784	18,173	17,366	18,064
Amortisation — deferred development and implementation costs	3,769	4,063	3,769	4,063
Amortisation — intangible assets	214	179	—	—
Donations for library holdings	(3,273)	(4,229)	(3,273)	(4,229)
Donations for art works	(14)	(42)	(14)	(42)
<i>Less items classified as investing activities</i>				
Loss/(profit) on disposal of property, plant and equipment	4,431	(55)	4,419	(55)
Loss/(profit) on sale of investments	(194)	(53)	(194)	(53)
Decrease/(increase) in:				
Current assets				
Trade debtors	(2,453)	(2,187)	310	(1,331)
Government grants receivable	3,372	(3,019)	3,372	(3,019)
Accrued income	(3,439)	(3,529)	(3,377)	(3,529)
Prepayments	(1,755)	3,393	(1,823)	3,467
GST recoverable	(97)	(607)	(62)	(620)
Owing by other entities	(54)	(36)	(40)	(45)
Owing by controlled entities	—	—	123	(2,321)
Inventories	268	(2,152)	313	(2,152)
Non-current assets				
Future income tax benefit	(15)	(8)	—	—
Increase/(decrease) in:				
Current liabilities				
Creditors and accrued expenses	(4,491)	5,815	(4,446)	5,391
Funds held on behalf of Education Victoria	(1,097)	53	(1,097)	53
Income received in advance	6,540	(625)	4,333	(1,883)
Current tax payable	96	46	—	—
Provisions for employee entitlements	321	256	217	(57)
Non-current liabilities				
Provisions for employee entitlements	(1,780)	6,696	(1,643)	6,314
Unfunded superannuation - SERB	32	(27)	32	(27)
Net cash provided by operating activities	31,053	30,149	33,632	27,267
NON-CASH INVESTING ACTIVITIES				

During the year the University received donations for library holdings and art works amounting to \$3,273,000 (2000 - \$4,229,000) and \$14,000 (2000 - \$42,000) respectively.

18. CONTINGENT LIABILITY

Deakin University has received advice from a former customer that it intends to pursue litigation in respect to breach of contract and misrepresentation by Deakin University. Deakin University is vigorously defending this action.

19. SUPERANNUATION

Deakin University has a number of present and former staff who are members of the Victorian State Superannuation Fund and in respect of whom defined benefits are payable on termination of employment. As at 30 June 2001, the Victorian State Superannuation Fund was carrying total liabilities for member benefits in excess of the value of the fund's assets. Hence, unfunded superannuation liabilities exist which are recognised in the financial statements of the fund. The notional share of this public sector employee superannuation fund's unfunded liabilities attributable to Deakin University, as assessed by the fund as at 30 June 2001, amounted to \$145,461,000 (2000 - \$143,525,000).

The accounting treatment of the unfunded superannuation liability was changed to comply with the directions issued by the Victorian Minister of Finance in 1998, and the revised 1998 instructions issued by the Commonwealth Department of Education, Science and Training (formerly Department of Education, Training and Youth Affairs). The decision to bring income receivable from the Commonwealth Government for superannuation to account was based on the *State Grants (General Purposes) Act 1994*, Section 14 which provides for the Commonwealth and State Governments to meet the costs of unfunded superannuation liabilities as they emerge. As stated in the Financial Statements for the State of Victoria 1998-9, based on a cost sharing arrangement with the Commonwealth, the State is only required to make contributions to the Commonwealth equivalent to approximately 28% of unfunded liabilities in relation to university employees with the remaining 72% met by the Commonwealth. The University's view is that these arrangements will continue. The relevant accounting transactions are detailed below.

	Consolidated		University	
	2001	2000	2001	2000
	\$000	\$000	\$000	\$000
(a) Amounts receivable from the Commonwealth government				
Receivable within 12 months	11,621	11,044	11,621	11,044
Receivable later than 12 months	133,840	132,481	133,840	132,481
Total	145,461	143,525	145,461	143,525
(b) Unfunded superannuation liability				
Payable within 12 months	11,621	11,044	11,621	11,044
Payable later than 12 months	133,840	132,481	133,840	132,481
Total	145,461	143,525	145,461	143,525

- (c)** During the 2001 year Deakin University's contributions to the various funds are set out below. There were no outstanding employer contributions as at 31 December 2001 (2000 - Nil).

The University contributes to a number of superannuation funds for its employees as follows:

	Employer Contribution		Ratio Employer: Employee Contributions
	2001	2000	
	\$000	\$000	
UniSuper Defined Benefit/Investment Choice Plan (DBP/ICP)	11,158	11,376	2 : 1
UniSuper Award Plus Plan (APP)	4,775	4,054	1 : 0
State Superannuation Fund	14,424	16,439	—
Superannuation Trust of Australia (STA)	365	231	1 : 0
State Employees Retirement Benefits Fund (SERB)	53	(9)	3 : 1
	30,775	32,091	—

- (d)** There was no other unfunded superannuation liability for any other scheme.

20. COMMITMENTS

Note	Consolidated		University	
	2001 \$000	2000 \$000	2001 \$000	2000 \$000
At the reporting date the following contracts for capital expenditure had been entered into:				
Not later than one year	10,153	23,220	10,153	23,032
Later than one year and not later than two years	—	3,880	—	3,880
	10,153	27,100	10,153	26,912
At the reporting date the following obligations under operating leases, which are not shown as liabilities, were in effect:				
Not later than one year	4,054	3,346	3,551	2,939
Later than one year and not later than two years	2,642	1,959	2,420	1,647
Later than two years and not later than five years	697	836	636	689
Later than five years	22	—	—	—
	7,415	6,141	6,607	5,275
At the reporting date the following obligations under finance leases, and which are shown as liabilities, were in effect:				
Not later than one year	409	334	409	334
Later than one year and not later than two years	252	338	252	338
Later than two years and not later than five years	120	182	120	182
Minimum lease payments	781	854	781	854
Less: future finance charges	(57)	(72)	(57)	(72)
	724	782	724	782
	12			

21. RESPONSIBLE PERSONS

Name of Responsible Persons

For the purposes of the *Victorian Financial Management Act 1994*, the Victorian Minister for Education and Training the Hon. Lynne Kosky, MP, and members of the University Council are the responsible persons of the University. The relevant remuneration of the Minister is included in the financial statements of the Victorian Department of Premier and Cabinet. Members of the University Council received no remuneration for services rendered as members of Council. Council members during 2001 were R H Searby (Chancellor), G V H Wilson (Vice-Chancellor & President), B U Crassini (Chair of Academic Board), L W M Heath, J J Tait, P C Turner, D J King, J Cripps Clark, A J Kloeden, D F Jones, P J Jones, M T Prendergast, M L Edmond, D M Morgan, J M McMahon, J K Austin, R H Downing, F M O'Sullivan-Smith and B J Garner.

Remuneration received or due and receivable from the University and its controlled entities by the responsible persons

860 733

Number of responsible persons whose remuneration from the University and its controlled entities were within the following bands:

	Consolidated	
	2001	2000
\$40,000 to \$49,999	1	—
\$50,000 to \$59,999	1	—
\$60,000 to \$69,999	—	1
\$80,000 to \$89,999	—	1
\$90,000 to \$99,999	1	—
\$110,000 to \$119,999	—	1
\$120,000 to \$129,999	1	—
\$130,000 to \$139,999	1	1
\$340,000 to \$349,999	—	1
\$400,000 to \$409,999	1	—

Includes all non-cash benefits and payments to staff who have terminated employment with the University. There were no other transactions with responsible person-related parties.

22. EXECUTIVE OFFICERS' REMUNERATION

	<i>Consolidated</i>	
	2001	2000
	\$000	\$000
Remuneration received or due and receivable from the University and its controlled entities by executive officers whose remuneration exceeded \$100,000	6,626	6,437
Number of executive officers whose remuneration from the University and its controlled entities were within the following bands	2001	2000
\$100,000 to \$109,999	—	3
\$110,000 to \$119,999	3	4
\$120,000 to \$129,999	5	3
\$130,000 to \$139,999	5	8
\$140,000 to \$149,999	2	5
\$150,000 to \$159,999	5	4
\$160,000 to \$169,999	3	1
\$170,000 to \$179,999	3	7
\$180,000 to \$189,999	4	1
\$190,000 to \$199,999	2	1
\$200,000 to \$209,999	1	—
\$210,000 to \$219,999	1	2
\$220,000 to \$229,999	1	1
\$240,000 to \$249,999	1	—
\$250,000 to \$259,999	1	1
\$300,000 to \$309,999	1	1
\$310,000 to \$319,999	1	—

Includes all non-cash benefits and payments to staff who have terminated employment with the University.

23. REMUNERATION OF AUDITORS

Amounts received, or due and receivable, by the auditors for:
Auditing the accounts and consolidated accounts of Deakin University and the accounts of each of its controlled entities:
Auditor-General of Victoria

- Deakin University	60	70
- Controlled entities	30	12

24. CONTROLLED ENTITIES

The *Deakin University Act 1974*, Section 34 permits the University to form limited liability companies. At the reporting date the University controlled the following entities:

Entity	Country of Incorporation	Principal Activity	Ownership Interest	
			2001	2000
Unilink Limited	Australia	Consultancy Services	100%	100%
Callista Software Services Pty Ltd (formerly Deakin Software Services Pty Ltd)	Australia	Computer Software Services	100%	100%
Deakin Networks Pty Ltd	Australia	Digital Communication	100%	100%
Australasian Human Resources Institute Pty Ltd	Australia	Professional Association	100%	100%
DeakinPrime USA	USA	Delivery Education & Services	100%	100%

The financial statements of the controlled entities have been audited by the Auditor-General of Victoria.

25. FINANCIAL INSTRUMENTS**(a) Terms, conditions and accounting policies**

The University's accounting policies, including the terms and conditions of each class of financial asset and financial liability, both recognised and unrecognised at the balance date, are as follows:

Recognised financial instruments	Note	Accounting policies	Terms and conditions
(i) Financial assets			
Cash at bank and on hand	3	Cash is carried at the principal amount.	Cash is invested as funds permit at varying interest rates. The interest rate as at 31 December 2001 is 3.27% (2000 - 5.25%).
Managed fund	3	Managed funds are stated at market value as at balance date.	The weighted average interest rate is 5.08% (2000 - 6.38%).
Income securities	3	Income securities are stated at the lower of cost and net realisable value	The weighted average interest rate is 7.03% (2000 - 8.02%).
Bank term deposits	3	Bank term deposits are stated at principal amount.	The deposit matures 7 February 2002 and earns interest at the rate of 1.09%
Receivables	4	Receivables are carried at nominal amounts due less any provision for doubtful debts. A provision for doubtful debts is recognised when collection of the full nominal amount is no longer probable.	Credit sales are on 30 day terms.
Listed shares	6	Listed shares are stated at market value as at balance date. Dividend income is recognised when the dividends are received.	Listed shares held at balance date are ordinary fully paid shares.
Unit funds	6	Unit trust funds are stated at market value. Dividend income is recognised when the dividends are distributed by the trustee.	
(ii) Financial liabilities			
Payables	11	Liabilities are recognised for amounts to be paid in the future for goods and services received, whether or not billed to the University. Income received in advance relates to amounts received or receivable at balance date which relate to future periods.	Trade liabilities are normally settled on 30 day terms.
Bank loans	12	The bank loans are carried at the principal amount plus accrued interest as at balance date. Interest is charged as an expense as it accrues except where capitalised as part of building projects.	The bank loans are repayable semi-annually with final instalments due on 5 August 2008, 15 November 2012, 1 July 2013, and 7 April 2015 respectively. The weighted average interest rate is 7.19% (2000 - 7.18%).
DEST loan	12	The DEST loan is stated at the principal amount plus accrued interest as at balance date. Interest is charged as an expense as it accrues.	The DEST loan is repayable bi-monthly with final instalment due on 24 December 2005. The interest rate is 2.22% (2000 - 3.76%).
Finance leases	12	The lease liability is accounted for in accordance with AASB 1008.	As at balance date, the University had finance leases with an average lease term of 3.5 years (2000 - 3.4 years). The average discount rate implicit in the leases is 5.96% (2000 - 5.94%).
Other	12	Principal amount of loan from the Department of Family and Community Services.	The loan from the Department of Family and Community Services is repayable in instalments on the 1 January and 1 July each year, with the final instalment due on 1 July 2015. The loan is interest free.

25. FINANCIAL INSTRUMENTS (CONTINUED)**(b) Interest rate risk**

The University's exposure to interest rate risks and the effective interest rates of financial assets and financial liabilities, both recognised and unrecognised at the balance date, are as follows:

Financial instruments	Floating interest rate		Fixed interest rate maturing in:						Non-interest bearing		Total carrying amount per the statement of financial position		Weighted average effective interest rate	
			1 year or less		Over 1 to 5 years		More than 5 years							
	2001 \$000	2000 \$000	2001 \$000	2000 \$000	2001 \$000	2000 \$000	2001 \$000	2000 \$000	2001 \$000	2000 \$000	2001 \$000	2000 \$000	2001 %	2000 %
(i) Financial assets														
Cash at bank and on hand	4,335	1,488							61	35	4,396	1,523	3.27%	5.25%
Bank deposits — at call	—	1,128									—	1,128	—	6.15%
Managed fund	27,242	38,411									27,242	38,411	5.08%	6.38%
Income securities	8,188	5,060									8,188	5,060	7.03%	8.02%
Bank term deposits			412	—							412	—	1.09%	—
Receivables									35,635	21,309	35,635	21,309	—	—
Listed shares									3,674	3,686	3,674	3,686	—	—
Unit funds									6,648	6,754	6,648	6,754	—	—
Other									118	10	118	10	—	—
<i>Total financial assets</i>	39,765	46,087	412	—	—	—	—	—	46,136	31,794	86,313	77,881		
(ii) Financial liabilities														
Payables									20,142	19,190	20,142	19,190	—	—
Bank loans			2,273	2,166	27,824	29,464					30,097	31,630	7.19%	7.18%
DEST loan			2,199	2,338	3,500	2,151					5,699	4,489	2.22%	3.76%
Finance leases			374	292	350	490					724	782	5.96%	5.94%
Other			9	9	112	120					121	129	—	—
<i>Total financial liabilities</i>	—	—	4,855	4,805	31,786	32,225	—	—	20,142	19,190	56,783	56,220		

25. FINANCIAL INSTRUMENTS (CONTINUED)**(c) Net fair values**

The aggregate net fair values of financial assets and financial liabilities, both recognised and unrecognised, at balance date, are as follows:

	Total carrying amount as per the statement of financial position		Aggregate net fair value	
	2001 \$000	2000 \$000	2001 \$000	2000 \$000
(i) Financial assets				
Cash at bank and on hand	4,396	1,523	4,396	1,523
Bank deposits — at call	—	1,128	—	1,128
Managed fund	27,242	38,411	27,242	38,411
Income securities	8,188	5,060	8,188	5,060
Bank term deposits	412	—	412	—
Receivables	35,635	21,309	35,635	21,309
Listed shares	3,674	3,686	3,637	3,658
Unit funds	6,648	6,754	6,648	6,754
Other	118	10	118	10
Total financial assets	86,313	77,881	86,276	77,853
(ii) Financial liabilities				
Payables	20,142	19,190	20,142	19,190
Bank loans	30,097	31,630	30,097	31,630
DEST loan	5,699	4,489	5,672	4,418
Finance leases	724	782	729	788
Other	121	129	121	129
Total financial liabilities	56,783	56,220	56,761	56,155

The following methods and assumptions are used to determine the net fair values of financial assets and liabilities

Recognised financial instruments

Cash, cash equivalents and short-term investments: The carrying amount approximates fair value because of their short term to maturity.

Trade receivables and payables: The carrying amount approximates fair value.

Short-term borrowings: The carrying amount approximates fair value because of their short term to maturity.

Long-term borrowings: The fair value of long-term borrowings is estimated by discounting future payments to net present value using the actual rate charged to the University.

Non-current investments/securities: For financial instruments traded in organised financial markets, fair value is the current quoted market bid price for an asset or offer price for a liability, adjusted for transaction costs necessary to realise the asset or settle the liability. For investments where there is no quoted market price, a reasonable estimate of the fair value is determined by reference to the current market value of another instrument which is substantially the same or is calculated based on the expected cash flows or the underlying net asset base of the investment/security.

25. FINANCIAL INSTRUMENTS (CONTINUED)

Unrecognised financial instruments

Forward exchange contracts:

The University enters into forward exchange contracts to buy or sell specified amounts of foreign currencies in the future at stipulated exchange rates. The objective in entering the forward exchange contracts is to protect the University against unfavourable exchange rate movements for the anticipated future purchases or sale undertaken in foreign currencies. The accounting policy in regard to forward exchange contracts is detailed in note 1(c).

Gains or losses unrecognised from forward exchange contracts.

	2001	2000
	\$000	\$000
Unrecognised gains (losses)	—	(1)

(d) Credit risk exposures

The University's maximum exposures to credit risk at balance date in relation to each class of recognised financial asset is the carrying amount of those assets as indicated in the Statement of Financial Position.

The University minimises concentrations of credit risk in relation to trade accounts receivable by undertaking transactions with a large number of customers within the specified industries. However, the majority of customers are concentrated in Australia.

Credit risk in trade receivables is managed in the following ways:

- payment terms are 30 days;
- debt collection policies and procedures including use of a debt collection agency.

26. ACQUITTAL OF COMMONWEALTH GOVERNMENT GRANTS

26.1 TEACHING AND LEARNING

	<i>University only</i>			
	Operating grant excluding HECS		HECS	
	2001	2000	2001	2000
	\$000	\$000	\$000	\$000
Amount received and expended pursuant to the <i>Higher Education Funding Act 1998</i> , excluding HECS:				
Grants in advance (paid in previous reporting period for the current reporting period)	6,960	6,813	4,077	3,808
<i>Plus</i> grants received during reporting period	91,010	86,740	52,180	47,718
<i>Less</i> grants in advance (received in the reporting period for the next reporting period)	(7,154)	(6,960)	(4,216)	(4,077)
Accrual adjustments				
Grants in advance recorded as revenue	2,342	294	—	—
Net advance of operating grants	(810)	2,372	(1,576)	538
Net grants receivable	120	604	—	866
Revenue attributed to the reporting period	92,468	89,863	50,465	48,853
<i>Less</i> expenses for current period	(92,468)	(89,863)	(50,465)	(48,853)
Surplus/(deficit) for reporting period	—	—	—	—

26. ACQUITTAL OF COMMONWEALTH GOVERNMENT GRANTS

26.2 AUSTRALIAN RESEARCH COUNCIL

	Large research		Small research		University only Research fellowships		Research infrastructure	
	2001	2000	2001	2000	2001	2000	2001	2000
	\$000	\$000	\$000	\$000	\$000	\$000	\$000	\$000
Amount received and expended pursuant to the <i>Higher Education Funding Act 1998</i> , excluding HECS:								
Grants in advance (paid in previous reporting period for the current reporting period)	—	54	35	—	—	9	48	—
Plus grants received during reporting period	1,597	1,246	371	434	124	165	577	837
Less grants in advance (received in the reporting period for the next reporting period)	—	—	—	(35)	—	—	—	(48)
Accrual adjustments								
Recovery	—	(11)	—	—	—	—	—	—
Net advance of operating grants	—	(108)	—	70	—	(18)	—	96
Revenue attributed to the reporting period	1,597	1,181	406	469	124	156	625	885
Plus surplus/(deficit) prior year	(70)	254	(27)	—	16	31	462	328
Funds available for the reporting period	1,527	1,435	379	469	140	187	1,087	1,213
Less expenses for current period	(1,537)	(1,505)	(19)	(496)	(68)	(171)	(1,042)	(751)
Surplus/(deficit) for reporting period	(10)	(70)	360	(27)	72	16	45	462

26. ACQUITTAL OF COMMONWEALTH GOVERNMENT GRANTS

26.3 DEST RESEARCH GRANTS

	Australian post-graduate awards		University only SPIRT		International research exchange		International overseas post-graduate research	
	2001 \$000	2000 \$000	2001 \$000	2000 \$000	2001 \$000	2000 \$000	2001 \$000	2000 \$000
Amount received and expended pursuant to the <i>Higher Education Funding Act 1998</i> , excluding HECS:								
Grants in advance (paid in previous reporting period for the current reporting period)	—	59	—	33	—	—	—	—
Plus grants received during reporting period	1,167	1,003	1,348	753	32	32	111	118
Accrual adjustments								
Recovery	—	—	—	(30)	—	—	—	—
Grants in advance recorded as revenue	—	—	—	(66)	—	—	—	—
Revenue attributed to the reporting period	1,167	1,062	1,348	690	32	32	111	118
Plus surplus/(deficit) prior year	—	—	373	227	15	—	69	(5)
Funds available for the reporting period	1,167	1,062	1,721	917	47	32	180	113
Less expenses for current period	(1,167)	(1,062)	(1,273)	(544)	(47)	(17)	(97)	(44)
Surplus/(deficit) for reporting period	—	—	448	373	—	15	83	69

26. ACQUITTAL OF COMMONWEALTH GOVERNMENT GRANTS

26.4 SUMMARY OF UNSPENT GRANTS

Category of grant	Amount of unspent grant as at 31 December	Amount of unspent grant that it is more likely will be approved by the Commonwealth for carry forward	Amount of unspent grant that it is more likely will be recovered by the Commonwealth
	2001	2001	2001
	\$000	\$000	\$000
Large research grants	(10)	—	—
Small research grants	360	360	—
Research fellowships	72	72	—
Research infrastructure	45	45	—
SPIRT (including APA (Industry) and collaborative)	448	448	—
International overseas postgraduate research	83	83	—
Total	998	1,008	—

27. EXPENSES BY FUNCTION

	<i>Consolidated</i>		<i>University</i>	
	2001	2000	2001	2000
	\$000	\$000	\$000	\$000
ACADEMIC ACTIVITIES				
Academic staff salaries	53,255	52,079	53,255	52,079
Academic staff salary related expenses	11,645	11,595	11,645	11,595
Non-academic staff salaries	15,622	12,274	15,622	12,274
Non-academic staff salary related expenses	6,863	4,701	6,863	4,701
Teacher training supervision fees	1,106	1,000	1,106	1,000
<i>Depreciation and amortisation expense:</i>				
Buildings	3,096	3,530	3,096	3,530
Leasehold improvements	311	44	311	44
Equipment	2,328	2,084	2,328	2,084
Motor vehicles	339	239	339	239
Deferred development costs	80	—	80	—
Finance leases	71	53	71	53
<i>Sub-total depreciation and amortisation expense</i>	6,225	5,950	6,225	5,950
Other expenses	52,382	51,386	52,382	51,386
	147,098	138,985	147,098	138,985
LIBRARIES				
Non-academic staff salaries	5,700	5,406	5,700	5,406
Non-academic staff salary related expenses	1,410	1,266	1,410	1,266
<i>Depreciation and amortisation expense:</i>				
Buildings	527	527	527	527
Equipment	125	195	125	195
Library materials	3,994	5,678	3,994	5,678
Motor vehicles	12	11	12	11
<i>Sub-total depreciation and amortisation expense</i>	4,658	6,411	4,658	6,411
Other expenses	2,319	1,101	2,319	1,101
	14,087	14,184	14,087	14,184
OTHER ACADEMIC SUPPORT SERVICES				
<i>(Learning Services and Information Technology Services)</i>				
Academic staff salaries	382	498	382	498
Academic staff salary related expenses	129	153	129	153
Non-academic staff salaries	8,697	8,000	8,697	8,000
Non-academic staff salary related expenses	2,276	1,881	2,276	1,881
<i>Depreciation and amortisation expense:</i>				
Buildings	234	234	234	234
Equipment	1,936	2,102	1,936	2,102
Motor vehicles	42	32	42	32
Finance leases	7	305	7	305
<i>Sub-total depreciation and amortisation expense</i>	2,219	2,673	2,219	2,673
Other expenses	4,741	5,140	4,741	5,140
	18,444	18,345	18,444	18,345

27. EXPENSES BY FUNCTION (CONTINUED)

	<i>Consolidated</i>		<i>University</i>	
	2001	2000	2001	2000
	\$000	\$000	\$000	\$000
STUDENT SERVICES				
Academic staff salaries	126	132	126	132
Academic staff salary related expenses	7	1	7	1
Non-academic staff salaries	2,951	2,755	2,951	2,755
Non-academic staff salary related expenses	866	604	866	604
<i>Depreciation and amortisation expense:</i>				
Buildings	1,347	1,347	1,347	1,347
Equipment	36	54	36	54
Motor vehicles	35	28	35	28
<i>Sub-total depreciation and amortisation expense</i>	1,418	1,429	1,418	1,429
Other expenses	4,090	6,171	4,090	6,171
	9,458	11,092	9,458	11,092
BUILDINGS AND GROUNDS				
Non-academic staff salaries	4,841	5,236	4,841	5,236
Non-academic staff salary related expenses	1,137	953	1,137	953
<i>Depreciation and amortisation expense:</i>				
Buildings	118	117	118	117
Equipment	499	605	499	605
Motor vehicles	103	156	103	156
Finance leases	23	5	23	5
<i>Sub-total depreciation and amortisation expense</i>	743	883	743	883
Other expenses	9,682	12,434	9,682	12,434
	16,403	19,506	16,403	19,506
ADMINISTRATION AND OTHER GENERAL INSTITUTIONAL SERVICES				
Academic staff salaries	1,138	938	1,138	938
Academic staff salary related expenses	(735)	(587)	(735)	(587)
Non-academic staff salaries	24,112	18,678	18,369	16,390
Non-academic staff salary related expenses	2,020	11,065	966	10,100
<i>Depreciation and amortisation expense:</i>				
Buildings	1,648	644	1,648	644
Leasehold improvements	2	—	—	—
Equipment	572	(248)	156	(357)
Motor vehicles	293	334	293	334
Finance leases	87	97	87	97
Deferred development costs	3,690	4,063	3,690	4,063
Acquisition costs	214	179	—	—
<i>Sub-total depreciation and amortisation expense</i>	6,506	5,069	5,874	4,781
Other expenses	27,914	18,929	22,933	14,026
	60,955	54,092	48,545	45,648

27. EXPENSES BY FUNCTION (CONTINUED)

	<i>Consolidated</i>		<i>University</i>	
	<i>2001</i>	<i>2000</i>	<i>2001</i>	<i>2000</i>
	<i>\$000</i>	<i>\$000</i>	<i>\$000</i>	<i>\$000</i>
DEFERRED EMPLOYEE BENEFITS FOR SUPERANNUATION				
Academic staff salary related expenses	1,549	4,401	1,549	4,401
Non-academic staff salary related expenses	387	1,100	387	1,100
	1,936	5,501	1,936	5,501
OTHER				
State pension costs — excess over 14%	11,621	10,938	11,621	10,938
Payment to DUSA	3,729	3,441	3,729	3,441
Written-down value of disposed property, plant and equipment	18,688	3,284	18,676	3,284
Book value of investments sold	161	72	161	72
	34,199	17,735	34,187	17,735
SUMMARY OF EXPENSES BY FUNCTION				
Academic staff salaries	54,901	53,647	54,901	53,647
Academic staff salary related expenses	12,595	15,563	12,595	15,563
Non-academic staff salaries	61,923	52,349	56,180	50,061
Non-academic staff salary related expenses	14,959	21,570	13,905	20,605
Teacher training supervision fees	1,106	1,000	1,106	1,000
<i>Depreciation and amortisation expense:</i>				
Buildings	6,970	6,399	6,970	6,399
Leasehold improvements	313	44	311	44
Equipment	5,496	4,792	5,080	4,683
Library holdings	3,994	5,678	3,994	5,678
Motor vehicles	824	800	824	800
Finance leases	188	460	188	460
Deferred development costs	3,770	4,063	3,770	4,063
Acquisition costs	214	179	—	—
<i>Sub-total depreciation and amortisation expense</i>	21,769	22,415	21,137	22,127
State Pension Costs - excess over 14%	11,621	10,938	11,621	10,938
Payment to DUSA	3,729	3,441	3,729	3,441
Written-down value of disposed property, plant and equipment	18,688	3,284	18,676	3,284
Book value of investments sold	161	72	161	72
Other expenses	101,128	95,161	96,147	90,258
	302,580	279,440	290,158	270,996



Certification

We, a Member of Council, the Vice-Chancellor and President, and Principal Accounting Officer, state that in our opinion:

- (a) The attached financial statements and notes thereto present fairly the financial position as at 31 December 2001, and the financial performance for the year ended 31 December 2001 of the University and the consolidated entity;
- (b) The attached financial statements and notes thereto comply with the *Financial Management Act 1994* and with Australian Accounting Standards Board and Urgent Issues Group Consensus Views;
- (c) At the date of this certification there are reasonable grounds to believe that the University will be able to pay its debts as and when they fall due; and
- (d) The amount of Commonwealth grants expended during the reporting period was for the purposes for which it was granted.

In addition, we are not aware at the date of signing these statements of any circumstances, which would render any particulars included in the statements to be misleading or inaccurate.

ADRIAN J KLOEDEN
Member of Council

Date: 28.3.02
Geelong

PROFESSOR ANNE MARTIN
Acting Vice-Chancellor and President

Date: 28.3.02
Geelong

PETER J ENLUND
Principal Accounting Officer

Date: 28.3.02
Geelong



AUDITOR GENERAL
VICTORIA

AUDITOR-GENERAL'S REPORT

To the Members of the Parliament of Victoria, the responsible Ministers and the Members of the Council of Deakin University.

Audit Scope

The accompanying financial report of Deakin University for the financial year ended 31 December 2001, comprising a statement of financial performance, statement of financial position, statement of cash flows, and notes to the financial statements, has been audited. The financial report includes the consolidated financial statements of the economic entity, comprising the Deakin University and the entities it controlled at the year's end or from time to time during the financial year as disclosed in note 24 to the financial statements. The Members of the University's Council are responsible for the preparation and presentation of the financial report and the information it contains. An independent audit of the financial report has been carried out in order to express an opinion on it to the Members of the Parliament of Victoria, the responsible Ministers and the Members of the Council as required by the *Audit Act* 1994.

The audit has been conducted in accordance with Australian Auditing Standards to provide reasonable assurance as to whether the financial report is free of material misstatement. The audit procedures included an examination, on a test basis, of evidence supporting the amounts and other disclosures in the financial report, and the evaluation of accounting policies and significant accounting estimates. These procedures have been undertaken to form an opinion as to whether, in all material respects, the financial report is presented fairly in accordance with Australian Accounting Standards and other mandatory professional reporting requirements and complies with the requirements of the *Financial Management Act* 1994, so as to present a view which is consistent with my understanding of Deakin University's and the economic entity's financial position, and the results of their operations and their cash flows.

The audit opinion expressed in this report has been formed on the above basis.

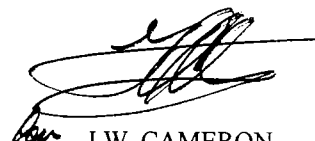
Qualification

As disclosed in note 19 to the financial statements, the Commonwealth Government has undertaken to reimburse the University in respect of the cost of annual pension payments paid by the University to the State Superannuation Fund in relation to former higher education employees. The University's future call on Commonwealth funding has been recognised as an asset in its statement of financial position as at 31 December 2001 and has been valued at \$145.4 million (\$143.5 million as at 31 December 2000), which equates with the amount of the University's unfunded superannuation liability. The corresponding adjustment to the value of this asset of \$1.9 million was recorded as revenue in the statement of financial performance for the year ended 31 December 2001 (\$5.5 million for the year ended 31 December 2000). As the University does not exercise control over the future Commonwealth Government funding associated with the unfunded superannuation liability of the University as at 31 December 2001, a right to these funds should not have been recognised as an asset in the University's statement of financial position. Accordingly, the reported assets of the University have been overstated by \$145.4 million (\$143.5 million as at 31 December 2000) and the accumulated funds have been overstated by a similar amount. In addition the associated revenue and the operating surplus for the year ended 31 December 2001 have been overstated by \$1.9 million (\$5.5 million as at 31 December 2000).

Qualified Audit Opinion

In my opinion, except for the effect on the financial report of the matter referred to above, the financial report presents fairly the financial position of Deakin University and the economic entity as at 31 December 2001 and the results of their operations and their cash flows for the year ended on that date in accordance with Australian Accounting Standards and other mandatory professional reporting requirements and complies with the requirements of the *Financial Management Act* 1994.

MELBOURNE
16 April 2002



J.W. CAMERON
Auditor-General

Compliance index

Compliance index to disclosure requirements 2001. The Annual Report of the entity is prepared in accordance with the *Financial Management Act 1994* and the Direction of the Minister for Finance. This index has been prepared to facilitate identification of compliance with statutory disclosure requirements.

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